

Rule 7. Licensing of Attorneys.

PREFACE

The Board of Law Examiners for the State of Tennessee (the “Board”) is created as a part of the judicial branch of government by the Supreme Court of Tennessee under its inherent authority to regulate courts. The Supreme Court appoints the members of the Board and has general supervisory authority over the Board’s actions. The Supreme Court controls admission to the practice of law and acts on the basis of the Board’s Certificate of Eligibility.

ARTICLE I. ADMISSION TO THE BAR OF TENNESSEE

Sec. 1.01. Prerequisites to Engaging in Practice of Law or Law Business.

No person shall engage in the “practice of law” or the “law business” in Tennessee as defined in Tenn. Code Ann. § 23-3-101 and Tenn. Sup. Ct. R. 9, § 10.3(e), except under the authority of the Supreme Court, unless the person:

(a) has been:

(1) admitted to the bar of the Supreme Court in accordance with Tenn. Sup. Ct. R. 6; and

(2) issued a license by the Supreme Court in accordance with this Rule and after having been administered the oath in accordance with Tenn. Sup. Ct. R. 6 as set forth in this Rule; or

(b) has been granted permission to engage in special or limited practice under Sections 10.01, 10.02, 10.03, 10.04, 10.06, or 10.07 of this Rule; or

(c) is practicing in compliance with Tenn. Sup. Ct. R. 8, RPC 5.5(c), Tenn. Sup. Ct. R. 8, RPC 5.5(d), or Tenn. Sup. Ct. R. 19 (pro hac vice).

Sec. 1.02. License; Certificate of Eligibility Required.

The Supreme Court shall grant a license evidencing admission to the bar of Tennessee only upon presentation of a Certificate of Eligibility issued by the Board under Section 9.01 of this Rule. The applicant shall comply with Tenn. Sup. Ct. R. 6 and obtain the license on or before the first of the following to occur:

(a) expiration of bar examination or transferred Uniform Bar Examination (“UBE”) scores, which include a legacy UBE score and NextGen UBE score, as provided in Section 4.07(c); or one year after the date of the notice of the Board’s approval of the application for admission under Section 3.01, 3.05, 5.01, or 10.06 of this Rule.

(b) All background investigations are invalid upon expiration of the two-year period under Section 6.03. If the investigation expires after an applicant is approved for admission but before completion of the licensing process or expiration of scores, the applicant must submit to the National

Conference of Bar Examiners (“NCBE”) a new application for a background investigation and provide a copy of the application to the Board, as provided in Section 6.03(b).

Sec. 1.03. Criteria for Issuance of the Certificate of Eligibility.

(a) The Board shall issue a Certificate of Eligibility under Section 9.01 of this Rule only after determining that the applicant:

(1) is at least eighteen years old;

(2) is a United States citizen or qualified alien as defined in Tenn. Code Ann. § 4-58-102(3);

(3) has satisfied the educational requirements for admission specified by this Rule;

(4) has taken the UBE, which includes the legacy UBE and the NextGen Uniform Bar Examination, and has achieved the minimum score required for admission in Tennessee under Section 3.01 or Section 3.05 and the score has not expired, or is eligible for admission without examination in Tennessee as hereinafter provided in Section 5.01 or Section 10.06;

(5) has achieved a passing score on the Multistate Professional Responsibility Examination as provided in Section 4.07(d);

(6) has demonstrated the reputation, character, honesty, respect for the rights of others, due respect for the law, and the fitness to practice law, that in the opinion of the Board indicates no reasonable basis for substantial doubts that the applicant will adhere to the standards of conduct required of attorneys in this State;

(7) has certified that he or she has read and is familiar with the Tennessee Rules of Professional Conduct;

(8) has completed the Tennessee Law Course as provided in Section 1.07;

(9) has paid all fees for licensing and admission to this Board, the Clerk of the Supreme Court, and the Board of Professional Responsibility; and

(10) has evidenced a commitment to serve the administration of justice in this State as provided in Section 8.01.

(b) The burden of proof is on the applicant to demonstrate compliance with the eligibility criteria.

Sec. 1.04. Waiver of Examination.

The requirement to pass the Tennessee bar examination or provide a passing a UBE score may be waived for an applicant who has been admitted to practice in another state in the United States, the District of Columbia, or a U.S. Territory, provided that the applicant satisfies all requirements for admission without examination as specified in this Rule.

Sec. 1.05. Status of Persons Admitted.

All persons admitted to the bar of Tennessee are by virtue of such admission: (a) officers of the courts of Tennessee, eligible for admission to practice in any state court in Tennessee, and entitled to engage in the “practice of law” or the “law business” as defined in Section 1.01 of this Rule; and (b) subject to the duties and standards imposed from time to time on attorneys in this State.

Sec. 1.06. Existing Licenses.

Nothing in this Rule shall be construed as requiring the relicensing of persons holding valid licenses to practice in Tennessee as of the date of the adoption of this Rule.

Sec. 1.07. Tennessee Law Course.

The Tennessee Law Course is intended to provide instruction in specific areas of Tennessee law not addressed by the Uniform Bar Exam.

(a) The following applicants to the bar of Tennessee must successfully complete the Tennessee law course before an applicant is eligible for admission to the Tennessee bar:

- (1) Section 3.01, Admission by Examination,
- (2) Section 3.05, Admission by Transferred Uniform Bar Examination Score,
- (3) Section 5.01, Admission Without Examination, or
- (4) Section 10.06, Temporary License of Spouse of Military Service member.

(b) The Supreme Court shall determine the content of the Tennessee Law Course.

(c) The Board shall administer the Tennessee Law Course.

(d) The fee for the Tennessee Law Course shall be included in the Schedule of Fees promulgated by the Board of Law Examiners under Section 11.01 of this Rule. The fee is in addition to fees charged for the application for admission to practice law. Applicants must pay the fee to the Board before receiving access to the Tennessee Law Course.

(e) The Tennessee Law Course shall be a digital-exclusive course that shall be reasonably accessible to applicants. The applicant shall ensure the adequacy of the applicant’s hardware, software, and internet connection.

(f) The Board shall provide applicants with instructions regarding access to the Tennessee Law Course as follows:

(1) Applicants seeking admission under Section 3.01 (by examination) shall receive instructions upon completion of the bar examination.

(2) Applicants seeking admission under Section 3.05 (transferred UBE score) or Section 5.01 (without examination) will receive instructions upon receipt of the completed character and fitness investigation from NCBE.

(3) Applicants seeking a temporary license under Section 10.06 (military servicemember or spouse of military servicemember) will receive instructions upon filing the application and paying the fee.

(g) If the applicant is applying for admission under Section 3.01 or 3.05, the applicant must successfully complete the Tennessee Law Course before expiration of the applicant's UBE score as defined in Section 1.02(a).

(h) All applicants, including applicants under Section 3.01 or Section 3.05, must successfully complete the Tennessee Law Course within 90 days after the date the applicant receives notice that the applicant has completed all other eligibility requirements for a Tennessee law license.

(i) An applicant who successfully completes the Tennessee Law Course before completing all other requirements for eligibility to obtain a law license may be directed, in the discretion of the Board, to repeat the Tennessee Law Course before being approved for admission.

(j) The Tennessee Law Course is not continuing legal education. No fee under Rule 21, Section 8.02 shall be imposed on the Board or any applicant.

(k) No person holding a valid Tennessee license as of the effective date of this Rule shall be required to take the Tennessee Law Course.

ARTICLE II. EDUCATIONAL REQUIREMENTS FOR ADMISSION

Sec. 2.01. Bachelor's Degree.

(a) Any applicant seeking admission must have received a Bachelor's Degree or higher from a college on the approved list of the Southern Association of Colleges and Secondary Schools Commission on Colleges (SACSCOC), or the equivalent regional accrediting association, or any accreditation agency imposing at least substantially equivalent standards. As part of the application for admission, an applicant shall provide evidence of the degree in the form required by the Board.

(b) To be eligible to take the exam, an applicant shall provide evidence of the degree, earned before the examination, in the form required by the Board.

(c) The Board in its discretion may waive the requirement of a degree from an accredited undergraduate school if the applicant submits a request for waiver under oath as provided in Section 13.09. The request for waiver must include satisfactory evidence that applicant's undergraduate education is substantially equivalent to an undergraduate degree awarded by a regional accrediting association and that the applicant has graduated with a Juris Doctor Degree ("J.D. Degree") from either:

(1) a law school accredited by an Approved Accreditor as defined in Section 2.02(a); or

(2) a Tennessee law school approved by the Board pursuant to Section 17.01 of this Rule (“Tennessee-approved law school”).

Explanatory Comments.

Comment 2.01-1. An applicant seeking waiver under paragraph (c), above, who earned an undergraduate degree from a foreign college or university must comply with the requirements of Section 7.01(c).

Sec. 2.02. Legal Education Degree Requirements.

(a) As used in this Rule, “Approved Accreditor” is defined as an entity approved by the Tennessee Supreme Court to accredit or approve law schools. The Supreme Court shall promulgate a list of agencies or organizations authorized to accredit or approve law schools. The Board shall include a list of Approved Law School Accreditors in the Board Policies and Procedures and will post the list to the Board’s website.

(b) Any applicant seeking admission must have completed a course of instruction in and graduated with a J.D. Degree from a law school that was accredited by an Approved Accreditor or from a Tennessee-approved law school. The law school must have been approved at the time of the applicant’s graduation.

(c) To be eligible to take the examination, an applicant seeking admission by examination must cause to be filed as part of the application a certificate from the dean or supervising authority of the school of law in which the applicant is enrolled or from which the applicant graduated, certifying that either the school is accredited by an Approved Accreditor or the school is a Tennessee-approved law school of this Rule and that:

(1) the applicant has completed all the requirements for graduation, or

(2) the applicant will have the number of credit hours required for graduation by the date of the bar examination.

(d) Any applicant seeking admission by transferred UBE score under Section 3.05, without examination under Section 5.01, or as a military servicemember or the spouse of a military servicemember under Section 10.06 shall provide evidence of the J.D. Degree in the form required by the Board.

(e) An attorney who received a legal education in the United States or a U.S. Territory but is ineligible for admission because the law school attended does not meet the requirements of Section (b) above may be considered for admission by examination under Section 3.01 or transferred UBE score under Section 3.05 provided the attorney satisfies the following educational, licensing, and practice requirements:

(1) The attorney holds a J.D. Degree from a law school approved by an authority similar to the Tennessee Board of Law Examiners in the jurisdiction where the law school exists and which requires the equivalent of a three-year course of study that is the substantial equivalent of the legal education provided by approved law schools located in Tennessee and which is based on in-person attendance or a hybrid program of in-person learning and distance learning that complies with Subsection (f) of this Section. The law school must have been approved at the time of the applicant's graduation.

(A) The applicant shall provide detailed information to the Board regarding the program of legal education and the accreditation or approval status of the law school at the time applicant graduated, including but not limited to the curriculum, requirements for admission, and for programs that include distance learning, provide documentation of compliance with Subsection (f) of this Section. The applicant shall not be eligible to sit for the bar examination until the applicant's legal education is approved by the Board.

(B) In evaluating the education received the Board shall consider, but not be limited to, such factors as the similarity of the curriculum taken to that offered in law schools approved by an Approved Accreditor as defined in Subsection (a) above, and that the school at which the applicant's legal education was received has been examined and approved by an authority in the state authorized to approve a law school located in that state that is similar to the Tennessee Board of Law Examiners examining the legal qualifications of graduates from state approved law schools; and

(2) The attorney has passed a bar examination equivalent to that required by Tennessee in the state in which the law school exists; and

(3) The attorney meets all other requirements contained in the Rules of the Supreme Court of Tennessee pertaining to Admission of Persons to Practice Law.

(f) Other than in-person attendance.

(1) No correspondence course will be accepted by the Board as any part of an applicant's legal education to meet the requirements of this Rule.

(2) Distance, on-line, or other instruction that is not in person will be accepted as part of the curriculum at a law school accredited by an Approved Accreditor or a Tennessee-approved law school only to the extent permitted by the Approved Accreditor's standards for distance learning or as authorized by an Approved Accreditor as an exception or deviation from those standards.

(3) For applicants whose legal education falls under Subsection (e) of this Section, distance learning will be accepted as part of the curriculum to the extent permitted by an Approved Accreditor's standard for distance learning.

ARTICLE III. APPLICATION FOR ADMISSION BY EXAMINATION SCORE

Sec. 3.01. Application for Admission by Examination.

(a) Any applicant submitting an application for admission by examination shall provide evidence in the form and following the process established by the Board that the applicant:

(1) meets the educational requirements imposed under Sections 2.01 and 2.02 of this Rule;

(2) meets the Character and Fitness Standard under Section 6.01 required of all applicants for admission to practice law in this jurisdiction;

(3) is a member in good standing in all jurisdictions in which the applicant is admitted, if any;

(4) is not currently subject to lawyer discipline or the subject of a pending disciplinary matter in any jurisdiction; and

(5) has not engaged in the unauthorized practice of law in this or any other jurisdiction.

(b) An applicant for admission by examination shall:

(1) file an application for admission by examination in the manner established by the Board and within the time limits prescribed by this Rule;

(2) submit a certificate of admission from the highest court of each jurisdiction in which the applicant is admitted;

(3) submit a certificate of good standing and disciplinary history from each jurisdiction in which the applicant is admitted; and

(4) pay the application fee as adopted pursuant to Section 11.01 of this Rule.

(c) The burden of proof is on the applicant to demonstrate compliance with the eligibility requirements found in Section 1.03, the requirements for admission listed in Subsection (a) of this Section including but not limited to proof of time in practice, where required, and other documentation requirements that might apply based on the applicant's practice, education, or citizenship.

Sec. 3.02. [Reserved.]

Sec. 3.03. Date for Filing Application for Examination or Reexamination.

The process for submitting an application for admission by examination shall begin on March 1 for the July examination and October 1 for the February examination.

(a) Filing Time Periods.

(1) Applications for admission by examination, including re-examination, shall be March 1 for taking the July examination and October 1 for taking the February examination.

(2) The last day to submit an application, pay the application fee, and complete the application process shall be May 1 for taking the July examination and December 1 for taking the February examination (the “Final Deadline”).

(3) Requests for Non-Standard Testing Accommodations or Courtesy Accommodations open and close on the same dates listed in Subsection (1) and (2) of this Section, as provided in Section 3.11.

(b) For an applicant to be eligible to sit for the bar examination, the applicant must complete the following by the Final Deadline:

(1) Submit the correct application for admission;

(2) Pay the fee due under the Fee Schedule provided in Section 11.01 in full; and

(3) Complete the application process by timely providing or causing to be provided all required supporting documentation, including completing all steps necessary to initiate the background investigation required in Section 6.03(b) of this Rule.

(c) The Board shall detail the application process and list the items necessary for a complete application in the Board Policies and Procedures. Steps in the application process shall be listed on the Board’s website.

(d) Original documents that must be provided to the Board by a third party must be received on or before the Final Deadline.

(e) Applicants must also meet the deadline for registration for use of a laptop, downloading of the exam testing software, and uploading the mock examination (collectively, “Laptop Requirement Deadlines”). The Laptop Requirement Deadlines are after the Final Deadline for applications and will be established for each exam. The Board shall detail the processes for each step in the Board Policies and Procedures.

(f) Applicants who have not completed the application process by the Final Deadline or fail to meet any of the Laptop Requirement Deadlines are ineligible to sit for the examination. The only recourse for failure to complete the application process is to apply for a subsequent examination.

Explanatory Comments

Comment 3.03-1. The deadlines for applying for admission by examination are essential to ensuring the examination’s proper administration. The deadlines are thus strictly construed. As provided in this Section and in Section 3.10 of this Rule, an applicant’s failure to meet these deadlines is not subject to waiver or petition. Applicants are strongly encouraged to complete their applications well in advance of applicable deadlines.

Comment 3.03-2. The use of an applicant’s laptop is essential for completion of the examination. Applicants must obtain the license for use of the software, download the software and upload the

mandatory on or before the Laptop Requirement Deadlines to be prepared for the examination. Therefore, an applicant who fails to meet any of the Laptop Requirement Deadlines will not be eligible to take the examination. As provided in this section and in section 3.10 of this Rule, an applicant's failure to meet these deadlines is not subject to waiver or petition.

Sec. 3.04. [Reserved.]

Sec. 3.05. Admission by Transferred Uniform Bar Examination Score.

(a) Any applicant for admission who has taken the UBE (which includes the legacy UBE and the NextGen UBE) in another jurisdiction may be admitted to the practice of law in this state by transferred UBE score, upon showing that the applicant:

(1) has taken the entire UBE in a single administration in another jurisdiction and earned a total UBE scaled score equal to or greater than the minimum score required to be achieved by successful Tennessee UBE applicants and that such score has not expired as provided in Section 4.07(c);

(2) meets the educational requirements pursuant to Sections 2.01 and 2.02;

(3) is a member in good standing in all jurisdictions in which applicant is currently admitted;

(4) is not currently subject to lawyer discipline or the subject of a pending disciplinary matter in any jurisdiction;

(5) meets the Character and Fitness Standard under Section 6.01 required of all applicants for admission to practice law in this jurisdiction; and

(6) has not engaged in the unauthorized practice of law in this or any other jurisdiction.

(b) An applicant for admission by transferred UBE score shall:

(1) file an application for admission by transferred UBE score, in the manner established by the Board, including submission of all required documents in the appropriate format;

(2) request transfer of the score from the National Conference of Bar Examiners directly to the Tennessee Board of Law Examiners;

(3) submit a certificate of admission from the highest court of each jurisdiction to which the applicant has been admitted;

(4) submit a certificate of good standing and disciplinary history from each jurisdiction to which the applicant has been admitted; and

(5) pay the application fee as adopted pursuant to Section 11.01 of this Rule within two weeks after the date the application is submitted.

(c) The burden of proof is on the applicant to demonstrate compliance with the eligibility requirements found in Section 1.03, the requirements for admission listed in Subsection (a) of this Section, including but not limited to proof of time in practice, where required, and other documentation requirements that might apply based on the applicant's practice, education, or citizenship.

Explanatory Comments.

Comment 3.05-1. An applicant must complete the admission process and obtain the license on or before expiration of transferred UBE scores as provided in Section 1.02(a).

Sec. 3.06. Obligation to Amend.

Until an applicant's application under Section 3.01 or 3.05 is approved, denied, or voluntarily withdrawn, the applicant is under a continuing obligation to update responses to any of the information requested in the application process. Whenever there is an addition or a change to the information previously provided to the Board, the applicant must amend his or her application by filing an amendment in the form provided by the Board. An applicant whose application has been on file for more than two years and that has not expired as provided in Section 3.07 must comply with Section 6.03(b) by submitting a new application for background investigation to the NCBE every two years until such time as the Applicant is admitted, has been denied admission, or has withdrawn the application for admission.

Sec. 3.07. Expiration of Application for Admission on Exam Score.

(a) An application for admission by examination or transferred UBE score expires and closes upon the earlier of:

- (1) admission and issuance of a license;
- (2) withdrawal of the application by the applicant;
- (3) denial of a license under Section 9.05;

(4) thirty days after the entry of the final order denying the application in whole or in part on the failure of the applicant to meet the Character and Fitness Standard required of attorneys admitted to practice law, absent a petition under Section 14.01 and then upon resolution of the petition by the Supreme Court;

(5) thirty days after the entry of the final order denying an application under Section 3.05 for admission by transferred UBE score for failure to have a score that meets the eligibility requirements, absent a petition under Section 14.01 and then upon resolution of the petition by the Supreme Court;

- (6) expiration of exam or UBE scores;

(7) six months after the last communication from the Board, following completion of the background investigation, and which remains unanswered by an applicant for admission by transferred UBE score; or

(8) three years after the last submitted application under Section 3.01 for admission by examination.

(b) Voluntary Withdrawal of Application for Admission by Applicant.

(1) To withdraw an application for admission, an applicant shall submit a written notice of withdrawal of the application to the Board affirmatively stating that the applicant is no longer seeking admission in Tennessee. Withdrawal is effective upon the submission of the notice.

(2) An application for admission that has been withdrawn may not be reinstated, and admission in Tennessee will require a new application.

Sec. 3.08. [Reserved.]

Sec. 3.09. [Reserved.]

Sec. 3.10. No Discretion to Waive Filing Deadlines or Score Expiration.

(a) Neither the Board nor the Executive Director shall have discretion to waive or extend the Final Deadline for filing applications to take the examination or the Laptop Requirement Deadlines as specified in Section 3.03 of this Rule.

(b) Neither the Board nor the Executive Director shall have discretion to waive or extend the date a score expires for applications for admission by transferred UBE score specified in Section 4.07 of this Rule.

(c) An applicant aggrieved by an action of the Board denying an application for failure to meet the Final Deadline or the Laptop Requirement Deadlines under Section 3.03 or for failure to complete the application process and eligibility requirements under Section 3.05 prior to the expiration of a UBE score shall not be entitled to petition the Supreme Court for a review of said action.

Sec. 3.11. Applicants Requiring Non-Standard Testing Accommodations.

The bar examination shall be administered to all eligible applicants in a manner that does not discriminate against applicants with non-standard testing needs. An applicant who is otherwise eligible to take the Tennessee bar examination may request a modification of the manner in which the examination is administered if such applicant is unable to take the examination under normal testing conditions.

The Board shall adopt a policy regarding applicants requiring non-standard testing accommodations pursuant to Section 12.05 of this Rule. An applicant requesting non-standard testing accommodations shall complete and submit the request form and documents prescribed by

the Board by the Final Deadline set forth in Section 3.03 of this Rule and in the Board Policies and Procedures, Policies P-3.01 and P-3.03, except when the disability first occurs after the Final Deadline. Because the forms and procedures are detailed, requiring the applicant to attach statements from law school officials and treating professionals, any applicant requesting non-standard testing conditions is encouraged to request, complete, and submit the application for admission by examination and the necessary request for non-standard testing and related forms to the Board as early as possible to permit an evaluation of the request. Reports from Qualified Professionals, as outlined in the Board Policies and Procedures, must be completed in time to include the evaluation report with the non-standard testing request prior to the Final Deadline. The requested accommodations shall be consistent with the security and integrity of the examination.

The Board may transmit the application for non-standard testing or refer the applicant to an appropriate professional selected by the Board for assessment and recommendations regarding the accommodation to grant. By submitting a request for non-standard testing, the applicant agrees to the release of the application to an appropriate professional and agrees to appear for assessment, if requested to do so by the Board.

ARTICLE IV. THE EXAMINATION

Sec. 4.01. The Purpose of the Examination.

The purpose of the examination is to enable applicants to demonstrate to the Board that they possess the knowledge, skills and abilities basic to competence in the profession.

Sec. 4.02. The Structure of the Examination.

The Board shall test applicants by administering a bar examination prepared by the National Conference of Bar Examiners which results in a score that can be transferred to other jurisdictions and is in the form of the legacy UBE or the NextGen UBE. The Board may contract with the National Conference of Bar Examiners or others to provide test materials.

Sec. 4.03. The Dates and Places of Giving the Examination.

The examination shall be given in February and July of each year at any one or more of the following places: Memphis, Nashville, Chattanooga and Knoxville, provided an examination is held at least once a year in each of the three grand divisions. The Court, in its discretion, may substitute another location in the same grand division for a city named in the preceding sentence.

Sec. 4.04. [Reserved.]

Sec. 4.05. Re-examination.

An applicant who is unsuccessful on the examination may apply for a subsequent examination in Tennessee by completing the application process under Section 3.01 and paying the required fee. After the deadline for completion of the application process, the Board, in its discretion, will

determine whether an applicant who has failed the bar examination will be permitted to take another examination.

Sec. 4.06. Effect of Taking Examination on Eligibility for Admission.

The fact that an applicant is allowed to take the examination shall not preclude further inquiries, investigation or proceedings with respect to the other criteria for admission under this Rule.

Sec. 4.07. Grading the Examination and Score Expiration.

(a) The Board shall continue to maintain procedures which assure that the identity of each applicant in the grading process is not known to any person having responsibility for grading or determining whether the applicant passes or fails until the grades of all applicants have been finally determined.

(b) The minimum bar examination score required for a successful examination will be adopted as a statement of policy and approved by the Supreme Court pursuant to Section 12.05 of this Rule.

(c) Bar examination scores earned in Tennessee are valid to determine eligibility for licensing for three years after the date grades are released. The scores expire after three years.

(d) A UBE score transferred to Tennessee is valid for three years from the date grades were released in Tennessee for the exam administration for which the score was earned. A UBE score that was earned more than three years from the date grades were released in Tennessee for the exam administration for which the transferred score was earned is not valid for admission to Tennessee.

(e) In order for an applicant seeking admission by examination or transferred UBE score to be determined eligible for licensing pursuant to Section 9.01, a score equal to or greater than that required by Tennessee on the Multistate Professional Responsibility Examination (“MPRE”) must be achieved no more than two years before earning a qualifying UBE score that is being used for admission in Tennessee under Sections 3.01 or 3.05 of this Rule. It is the responsibility of the applicant to cause MPRE score reports to be provided to the Board. The minimum MPRE score will be adopted as a statement of policy and approved by the Supreme Court pursuant to Section 12.05 of this Rule.

(f) An applicant who is seeking admission by examination or transferred UBE score and who achieved a score equal to or greater than the score required by Tennessee on the MPRE more than two years before earning a qualifying UBE score that is being used for admission in Tennessee under Sections 3.01 or 3.05 of this Rule will meet the requirement of paragraph (e) above provided the applicant:

(1) is licensed by examination, in active status, and in good standing in another state in the United States, the District of Columbia or a U.S. Territory;

(2) provides the Board with a certificate of admission to the highest court and certificate of good standing and disciplinary history for each jurisdiction to which the applicant is admitted; and

(3) causes the MPRE score report to be furnished to the Board in the form of a score report from the NCBE as part of the application for admission under Section 3.01 or Section 3.05.

Explanatory Comments.

Comment 4.07-1. An applicant must complete the admission process and obtain the license on or before expiration of bar examination or transferred UBE scores as provided in Section 1.02(a). See Tenn. Sup. Ct. Rule 6 for the admissions process.

4.08. Withdrawal from the Examination.

(a) An applicant may voluntarily withdraw from the examination at any time prior to the start of the examination by providing written notice of withdrawal from the examination to the Board. Refund of fees is permitted only the extent provided in the Section 11.03 of this Rule.

(b) An applicant who fails to appear for a session of the examination will be withdrawn from the exam, will not be permitted to appear for any subsequent session of the examination, and will not receive an examination score.

(c) An applicant who arrives for a session of the examination after instructions have begun may be admitted to the exam room in the discretion of the Board. Applicants arriving late will not be given missed instructions.

(d) Anyone not seated and logged into the laptop testing program at the time the exam session begins will not be permitted to participate in the session, will be withdrawn from the examination, will not be permitted to return to subsequent exam sessions, and will not receive an examination score.

(e) When the Board determines that an applicant must be dismissed from the examination due to exam misconduct, the applicant will be withdrawn from the examination, will not be permitted to appear for any subsequent session of the examination, and will not receive an examination score.

(f) The only recourse for an applicant withdrawn from the examination as provided in paragraphs (b), (c), (d), or (e) of this Section is to apply for a subsequent examination.

Explanatory Comments.

Comment 4.08-1. Withdrawal from the exam, whether or not voluntary, does not withdraw the application for admission. To withdraw the application for admission, see Section 3.07.

ARTICLE V. PERSONS ADMITTED IN OTHER JURISDICTIONS SEEKING WAIVER OF EXAMINATION

Sec. 5.01. Minimum Requirements for Admission Without Examination of Persons Admitted in Other Jurisdictions.

(a) **Requirements.** An applicant who meets the requirements of (1) through (7) of this paragraph may be admitted to the practice of law in this jurisdiction without examination (comity). The applicant shall:

(1) meet the educational requirements imposed under Sections 2.01 and 2.02(b) of this Rule;

(2) have been admitted by bar examination to practice law in one or more states or territories of the United States, or the District of Columbia;

(3) have been primarily engaged in the active practice of law, as defined below, in one or more states or territories of the United States, or the District of Columbia, for three of the five years immediately preceding the date upon which the application is filed (“durational requirement”);

(4) establish that the applicant is currently a member in good standing in all jurisdictions where admitted;

(5) establish that the applicant is not currently subject to lawyer discipline or the subject of a pending disciplinary matter in any other jurisdiction;

(6) establish that the applicant meets the Character and Fitness Standard under Section 6.01 required of all applicants for admission to practice law in this jurisdiction; and

(7) submit an application under paragraph (e) of this Section before establishing an office or other systemic and continuous presence in this jurisdiction for the practice of law pursuant to Tenn. Sup. Ct. R. 8, RPC 5.5(b)(1).

(b) **Diploma Privilege.** An applicant who was admitted and licensed to practice in another state pursuant to a “diploma privilege,” which exempts an applicant from taking a bar examination, and who has not been admitted by examination or transferred UBE score in any other state in the United States, the District of Columbia, or a U.S. Territory in which the applicant is in good standing, may seek a waiver of Subsection (a)(2) by filing a petition with the Board as provided in Section 13.02, setting forth the reasons why the applicant should be admitted to practice law in Tennessee. The petition shall include information upon which the Board can assess the applicant’s

reputation, character, knowledge, skills and abilities. The Board shall then conduct a hearing in response to the petition, according to the guidelines set forth in Section 13.03 of this Rule. After considering the totality of the proof presented, the Board shall make a recommendation to the Supreme Court either for approval or denial of the petition or for such other action as the Board may deem appropriate. Any applicant whose petition for waiver of Subsection (a)(2) is denied by the Board may file a petition for review in the Supreme Court pursuant to the procedures set forth in Section 14.01.

(c) **Active Practice of Law.**

(1) For the purposes of this Rule, in addition to the definitions of “Practice of Law” and “Law Business” in Section 1.01 of this Rule, the “active practice of law” shall include the following

activities, if performed in a jurisdiction in which the applicant is admitted, or if performed in a jurisdiction that permits such activity by a lawyer not admitted to practice:

(A) private or public practice of law as a licensed attorney;

(B) teaching law at a law school accredited by an Approved Accreditor;

(C) service as a judicial law clerk or staff attorney;

(D) service as a Judge in a federal, state or local court of record;

(E) service as Attorney General or Assistant Attorney General, Public Defender, U.S. Attorney, District Attorney, or an attorney or general counsel for a local, state, or federal agency, including military services;

(F) service as in-house counsel, provided that the attorney is duly registered under a rule similar to Section 10.01 of this Rule if required in the jurisdiction in which the services were provided; and

(G) practice as a military servicemember or spouse of a military servicemember under a license approved similar to that awarded under Section 10.06 of this Rule in the jurisdiction in which the services were provided.

(2) For the purposes of this Rule, in addition to the definitions of “Practice of Law” and “Law Business” in Section 1.01 of this Rule, the “active practice of law” may be construed in the Board’s discretion as being actively engaged in other employment requiring interpretation of law and application of legal knowledge if performed in a jurisdiction in which the applicant is admitted, or if performed in a jurisdiction that permits such activity by a lawyer not admitted to practice. The Board shall consider such evaluative criteria as time devoted to legal work, the nature of the work, whether legal training or a law license was a prerequisite of employment, and other similar matters.

(3) For work to meet the requirement of “active practice of law,” the lawyer must have been licensed, in active status and in good standing in at least one jurisdiction at the time the work was performed, unless the work was performed pursuant to paragraph (c)(1)(B). In no event shall any activities that were performed pursuant to a provision similar to Section 10.04 or Section 10.07 of this Rule in advance of bar admission in a state or territory of the United States or the District of Columbia be accepted toward the durational requirement.

(d) Unauthorized Practice of Law. For purposes of this Rule, the active practice of law shall not include work that, as undertaken, constituted the unauthorized practice of law in the jurisdiction in which it was performed or in the jurisdiction in which the clients receiving the unauthorized services were located.

(e) Admission Without Examination Application and Fees. Any applicant seeking admission without examination to the practice of law in Tennessee shall:

(1) file an application for admission without examination, including character investigation information, in a manner established by the Board, including all required supporting documents;

(2) submit a certificate of admission from the highest court of each jurisdiction to which applicant has been admitted;

(3) Submit a certificate of good standing and disciplinary history from each jurisdiction to which the applicant has been admitted; and

(4) pay the application fee as adopted under Section 11.01 of this Rule within two weeks after the date the application is submitted.

(f) An applicant under this Section who establishes an office or other systemic and continuous presence in this jurisdiction for the practice of law shall register for practice pending admission under Section 10.07 unless the practice of law meets an exception under Tenn. Sup. Ct. R. 8, RPC 5.5.

(g) The burden of proof is on the applicant to demonstrate compliance with the eligibility requirements found in Section 1.03, the requirements for admission listed in this Section including but not limited to proof of time in practice, and other documentation requirements that might apply based on the applicant's admission, practice, education, or citizenship.

Explanatory Comments

Comment 5.01-1. An applicant for admission without examination under Section 5.01 must meet the durational requirement in Section 5.01(a)(3) at the time the application is filed and the fee is paid. As Section 5.01 indicates, an applicant's time spent practicing in Tennessee pursuant to Section 10.04 or 10.07 of this Rule, or in another jurisdiction pursuant to a rule similar to Section 10.04 or 10.07, does not count for purposes of calculating time spent in the active practice of law under Section 5.01(c). Unless otherwise provided for by this Rule, durational requirements that rely on Section 5.01(c) thus may not count, for example, time spent practicing pending admission under Section 10.07 of this Rule.

Comment 5.01-2. "Other employment" shall not be construed by the Board as "active practice of law" under Section 5.01(c)(2) for work as a paralegal or law clerk in Tennessee prior to licensing in lieu of registration for practice pending admission. Similarly, such work does not count as time in practice prior to licensing in another jurisdiction when that jurisdiction permits such work prior to licensing, as noted in Comment 5.01-1.

Comment 5.01-3. Employment as In-House Counsel after establishing a presence in Tennessee and prior to admission in Tennessee does not count as "active practice of law" under Section 5.01(c) unless the applicant has timely registered when required under Section 10.01(a). See also Sections 10.01(i) and 10.01(j).

Comment 5.01-4. For exceptional circumstances shown, an applicant may seek a waiver of the requirements of paragraph (a)(iv), as provided in Section 6.06(b)(2), but must be licensed, in good

standing and in active status in at least one jurisdiction at the time engaged in the active practice of law for time to count towards the durational requirement as noted in paragraphs (c)(3) and (d) and if seeking permission to practice pending admission under Section 10.07.

Sec. 5.02. Additional Considerations.

In determining whether an applicant satisfies the requirements of Section 5.01 of this Rule, the Board shall consider any evidence submitted by the applicant in an effort to demonstrate that the applicant possesses the knowledge, skill and abilities basic to competence in the profession.

Sec. 5.03. Expiration of Application for Admission Without Examination.

(a) An application for admission without examination (comity) expires and closes upon the earlier of:

- (1) admission and issuance of a license;
- (2) withdrawal of the application by the applicant;
- (3) denial of a license under Section 9.05;

(4) thirty days after the entry of an order denying the application in whole or in part for failure of the applicant to meet the Character and Fitness Standard required of attorneys admitted to practice law, absent a petition under Section 14.01 and then upon resolution of the petition by the Supreme Court;

(5) thirty days after the entry of the final order denying the application for admission without examination (comity) for failure to meet eligibility requirements absent a petition under Section 14.01 and then upon resolution of the petition by the Supreme Court; or

(6) six months after the last communication from the Board, whether sent by mail or electronically, which remains unanswered by the applicant.

(b) Voluntary Withdrawal of Application by Applicant.

(1) To withdraw an application, an applicant shall submit a written notice of withdrawal of application to the Board affirmatively stating that the applicant is no longer seeking admission in Tennessee. Withdrawal is effective upon the submission of the notice.

(2) Except as otherwise provided in this Subsection, a withdrawn application may not be reinstated, and admission in Tennessee will require a new application.

(3) An applicant may, within 14 calendar days after notice of withdrawal has been submitted, petition the Board under Section 13.02 to reinstate the withdrawn application for good cause shown. If the applicant subsequently withdraws the reinstated application, the applicant may not seek reinstatement again.

Sec. 5.04. Obligation to Amend.

Until an applicant is admitted to the Tennessee bar, or the application is denied by the Board or voluntarily withdrawn, the applicant is under a continuing obligation to update responses to any of the information requested in the application process. Whenever there is an addition or a change to the information previously provided to the Board, the applicant must amend his or her application by filing an amendment or supplemental application as prescribed by the Board. An applicant whose application has been on file for more than two years and that has not expired as provided in Section 5.03, must submit a new application for investigation to the NCBE every two years until such time as the Applicant is admitted, has been denied admission, or has withdrawn the application for admission.

ARTICLE VI. CHARACTER AND FITNESS INVESTIGATION

Sec. 6.01. Character and Fitness Standard.

(a) An applicant shall not be admitted if the Board finds reasonable doubt as to that applicant's reputation, character, honesty, respect for the rights of others, fitness to practice law, and adherence to and obedience to the Constitution and laws of the United States and its states and territories, and concludes that such applicant is not likely to adhere to the duties and standards of conduct imposed on attorneys in this State. Any conduct which would constitute grounds for discipline if engaged in by an attorney in this State shall be considered by the Board in making its evaluation of the character of an applicant.

(b) The Board may adopt statements of policy to implement the application of the foregoing standard.

Explanatory Comments.

Comment 6.01-1. In evaluating past conduct, the Board considers the nature and circumstances of the conduct and all relevant factors, including without limitation when the conduct occurred, the applicant's age when the conduct occurred, mitigating factors, and remediation and rehabilitation.

Comment 6.01-2. In considering an applicant's character and fitness, the Board may consider the applicant's compliance with any applicable standards for professional conduct and laws, including in jurisdictions outside Tennessee.

Sec. 6.02. Investigatory Committees.

(a) In order to assist the Board in conducting character investigations of applicants, the Supreme Court shall appoint one or more investigating committees within each disciplinary district established under Rule 9. Each committee shall consist of a sufficient number of members so that each member has a reasonable number of interviews each year. The Board will adopt a policy establishing the reasonable number of interviews per member as well as the number of members for each committee. Attorneys who teach in any capacity in any of the State's law schools are

ineligible to serve as members of the Investigatory Committees. The Board may recommend to the Court the creation of additional committees or the increase in membership of any committee.

(b) The members of each investigating committee shall be appointed from time to time by the Supreme Court and shall serve at the pleasure of the Court for terms of up to five years, except as provided in paragraph (c), below. Members may be reappointed to serve a second five-year term. Members of an investigating committee may be recommended by the President or Board of Directors of the local bar association or associations in the district, the President or Board of Governors of the Tennessee Bar Association, members of the Board, or members of the investigatory committee in the district in which the vacancy exists.

(c) The Supreme Court shall select each committee chair. The chair shall be responsible for the administration of the work of the committee. Committee chairs may serve up to three consecutive five-year terms.

(d) The Executive Director shall provide an annual report to the Supreme Court in June listing the names of the members of each committee and the names of each committee chair, as well as a report of recommendations from the Board regarding the size of any committee.

Sec. 6.03. Investigating Procedures.

(a) Each application for admission with examination or without examination shall be referred first to a member of the Board for preliminary review for the purpose of:

(1) detecting any deficiencies in the application; and

(2) determining whether any additional information is needed with respect to any aspect of the application.

(b) As part of the character and fitness requirement for licensing, each applicant, other than an applicant pursuant to Sections 10.01 or 10.06 of this Rule, is required to have a current completed background investigation conducted by the National Conference of Bar Examiners (“NCBE”). It is the responsibility of each applicant to make the request to the NCBE for a background investigation and pay the required fee directly to the NCBE. In the event an applicant has not been licensed within two years of date of the original background investigation, the applicant must request a supplemental investigation at that time and every two years thereafter, until the applicant is licensed or the application is withdrawn or denied.

(c) The Executive Director shall transmit the application and the results of the background investigation, if available at the time of the interview, for each applicant for admission by examination or transferred UBE score who is not licensed and in good standing in at least one other jurisdiction in the United States to the chair of the appropriate investigating committee. The Board may transmit the application and results of the background investigation, if available at the time of the interview, for any applicant who is licensed and in good standing in another jurisdiction in the United States. The chair shall assign applications to committee members for review, interview and investigation.

(d) The investigating committee member to whom the application has been assigned shall review the application and such other information as may be transmitted by the Executive Director and shall conduct such investigation as appears to be appropriate. Each applicant referred to a committee shall be interviewed in person by a member of that committee. In conducting such investigations, the investigating committee member may take statements from the applicant and from such other persons as may be considered appropriate.

(e) On the completion of the investigation, the investigating committee member shall report his or her findings to the Board, in the form directed by the Board, and shall recommend fully, recommend with reservations or not recommend the applicant for licensing and admission.

Sec. 6.04. Duty of Candor; Failure or Refusal to Furnish Information; Referrals to Tennessee Lawyers Assistance Program.

(a) Each applicant for admission to the bar has a duty to be candid and to make full, careful and accurate responses and disclosures in all phases of the application and admission process. Each applicant must respond fully to all inquiries. It is not acceptable for an applicant to give either an incomplete or misleading description of past events reflecting on the applicant's qualifications for admission to the bar.

(b) The Board or any individual member may request or require any applicant to furnish additional information:

(1) To supplement or explain answers to any question on the application;

(2) As to the applicant's character or fitness to practice law;

(3) As to the educational qualifications of the applicant, including information with respect to schools attended by the applicant;

(4) As to the experience of the applicant: and

(5) As to such other matters as may be considered germane to the provisions of this Rule, including without limitation information provided by the Tennessee Lawyers Assistance Program under Rule 33.05(E)(3) and 33.07.

(c) The failure or refusal by any applicant to answer fully any question on the application or to furnish information or submit to examination as required by the application or pursuant to the provisions of this Rule shall be sufficient cause for the Board to refuse to allow such applicant to take the examination or to be admitted.

(d) In connection with the character and fitness investigation of an applicant, the Board may refer an applicant to the Tennessee Lawyers Assistance Program under Tennessee Supreme Court Rule 33.05(E)(3). An applicant's failure or refusal to substantially comply with the Board's referral or the recommendations made by the Tennessee Lawyers Assistance Program, , including without

limitation to undergo drug and alcohol testing and monitoring, shall be sufficient cause for the Board to issue a show-cause order under Section 13.01 and to deny such applicant a license.

Explanatory Comments.

Comment 6.04-1. The Board views candor as the act of speaking truthfully, honestly, and openly about an event or conduct and the role the applicant played, particularly when the information is uncomfortable or embarrassing to share. *See* Comment 6.05-1.

Sec. 6.05. False Information.

(a) The giving of false information or the making of false statements on the application or to the Board shall be sufficient cause for the Board to refuse to allow such applicant to take the examination or to be admitted.

(b) The Executive Director, the Board, or any individual member who has reason to believe that any person who has been admitted may have given false information or made false statements to the Board, may report the information to Disciplinary Counsel of the Board of Professional Responsibility.

Explanatory Comments

Comment 6.05-1. An incomplete disclosure can be a false disclosure. A statement is misleading if it omits a fact necessary to make the applicant's communication considered as a whole not materially misleading. *See* Tenn. Sup. Ct. R. 8, RPC 7.1, cmt. 2; Tenn. Sup. Ct. R. 8, RPC 8.1.

Sec. 6.06. Certificate of Character and Fitness.

(a) Recommendation of Character from Law School. An applicant seeking admission to practice law in Tennessee under Sections 3.01 or 3.05 must:

(1) execute an appropriate release form permitting school officials from each law school applicant attended to furnish information to the Board relevant to the character and fitness of the applicant; and

(2) cause to be submitted to the Board a certificate from the dean or supervising authority of the law school from which the applicant graduated and from each law school applicant attended indicating that to the best of its knowledge and belief the applicant has demonstrated such reputation, character, honesty, respect for the rights of others, due respect for the law, and fitness to practice law sufficient to indicate no reasonable basis for substantial doubt that the applicant would adhere to the standards of conduct required of attorneys in this State and that the law school has provided full and complete information requested by the Board regarding the character and fitness of the applicant.

(b) Applicants Licensed in Another Jurisdiction.

(1) If an applicant seeking admission to the bar has been previously admitted to another jurisdiction, a certificate of admission from the highest court of each jurisdiction to which applicant has been admitted and a certificate of good standing with disciplinary history must accompany the application to the Board.

(2) Without waiving the requirement of proof of good character and fitness to practice law as provided in paragraph (a), above, the Board, in its discretion and for exceptional circumstances shown by the applicant, may waive the requirement of a certificate of good standing from the each jurisdiction to which applicant has been admitted. The Board shall not waive the requirement for a certificate of good standing for each jurisdiction to which an applicant has been admitted for an applicant under Section 10.01.

ARTICLE VII. FOREIGN-EDUCATED APPLICANTS

Sec. 7.01. Eligibility to Take Examination.

(a) An applicant who has completed a course of study in and graduated from a law school in a foreign jurisdiction, which law school was then recognized and approved by the competent accrediting agency of such jurisdiction, may qualify for admission by the bar examination under Section 3.01 or for admission by transferred UBE score under Section 3.05, provided that the applicant shall satisfy the Board that his or her undergraduate education and legal education were substantially equivalent to the requirements of Sections 2.01 and 2.02 of this Rule by providing a Foreign-Education Report as detailed in paragraph (c) below.

(b) In the alternative, an applicant who has completed a course of study in and graduated from a law school in a foreign jurisdiction, which law school was then recognized and approved by the competent accrediting agency of such jurisdiction, may qualify for admission by bar examination under Section 3.01, or for admission by transferred UBE score under Section 3.05, provided that the applicant shall satisfy the Board that the applicant's legal education is equivalent to a first degree in law or a Juris Doctorate Degree by submitting a Foreign-Education Report as provided in paragraph (c), below, and by satisfying one of the following additional requirements:

(1) The applicant has been admitted to the practice of law in at least one U.S. or foreign jurisdiction and is in good standing at the bar of each jurisdiction to which the applicant is admitted, as evidenced by a certificate from the highest court or agency of such jurisdiction having authority over admission to the practice of law, and the applicant has engaged in the active practice of law, as defined in Section 5.01(c) of this Rule, for at least five of the eight years before applying for admission to the Tennessee bar; or

(2) The applicant has been awarded, by a law school fully accredited by an Approved Accreditor or a Tennessee-approved law school, an LL. M. Degree after completion of a Traditional LL. M. program that meets the following requirements:

(A) The Traditional LL. M. program completed by the applicant, also known as an LL. M. in U.S. or American Law, is a program in American law designed for foreign-educated students to provide instruction in the fundamentals of U.S. law. An LL. M. Degree in a specialized area of the

law, such as Tax or Environmental Law, does not meet the requirements for the Traditional LL. M. required by this Rule

(B) The foreign-educated lawyer must participate in the LL. M. program, either full-time or part-time, in person in the United States at a law school accredited by an Approved Accreditor or Tennessee-approved law school, and the instructions and assignments must be in English. If participating in a part-time program, the applicant must have completed the LL.M. program within thirty-six months after beginning the program; and

(C) The degree program certifies to the Board, on such form prescribed by the Board, that the LL. M. Degree earned by the foreign-educated lawyer complies with the requirements of this Rule.

(c) Foreign-Education Report.

(1) An applicant, in order to qualify for admission under paragraphs (a) or (b), above, shall submit a comprehensive evaluation that includes a course-by-course evaluation, determination of equivalency, plus authentication of transcripts ("Foreign-Education Report") from a Credential Evaluation Service that is a member of the National Association of Credential Evaluation Services.

(2) An applicant who received a J.D. Degree from a law school accredited by an Approved Accreditor or Tennessee-approved law school but earned an undergraduate degree at a foreign college or university and who is seeking a waiver under Section 2.01(c), shall obtain a Foreign-Education Report as provided in paragraph (c)(1), above.

Sec. 7.02. Additional Information from Applicants Licensed in a Foreign Country.

Any applicant licensed to practice in a foreign country desiring admission in Tennessee shall be required to pass the examination and shall supplement the application with the following documents:

(a) a certified copy of the record or license of the court or agency which admitted the applicant to practice law in such country; and

(b) at least three letters from attorneys or judges in such foreign country certifying that the applicant is in good standing at that bar, or was in good standing at that bar when the applicant left that foreign country.

**ARTICLE VIII. COMMITMENT TO SERVE THE ADMINISTRATION OF JUSTICE
IN TENNESSEE**

Sec. 8.01. Evidence of Commitment to Administration of Justice.

The requisite commitment to serve the administration of justice in Tennessee subject to the duties and standards imposed on attorneys in this State shall be evidenced by a statement by each applicant for admission by examination, transferred UBE score, without examination or temporary

admission under Section 10.06, that the applicant agrees to abide by the duties and standards imposed from time to time on attorneys in this State.

Sec. 8.02. [Reserved.]

Sec. 8.03. [Reserved.]

ARTICLE IX. ISSUANCE OF LICENSE—EFFECTIVE DATE OF ADMISSION

Sec. 9.01. Certificate of Board.

(a) Upon the completion of all requirements for licensing as provided in Section 1.03, the Board, acting through the Executive Director, shall certify to the Supreme Court that an applicant is eligible for admission and issue to the applicant a “Certificate of Eligibility for Admission” (“Certificate of Eligibility”). The Board shall promptly notify the Clerk of the Supreme Court and the Board of Professional Responsibility of the issuance of the Certificate of Eligibility.

(b) The Certificate of Eligibility shall be valid for ninety days from the date of issuance. Subject to the time limit imposed by Section 1.02 of this Rule, the Board may grant the applicant a reasonable, one-time extension of the time within which to complete the licensure process, including compliance with Tenn. Sup. Ct. R. 6, if the applicant shows to the satisfaction of the Board that he or she is unable to complete the process within the ninety-day period.

Explanatory Comments.

Comment 9.01-1. A Certificate of Eligibility cannot be issued or re-issued if examination or transferred UBE scores have expired or if an application or the deadline for taking of the oath of admission has expired. See Sections 3.07, 5.03, and 10.06.

Sec. 9.02. Issuance of License.

(a) On the basis of the Certificate of Eligibility, and upon the successful applicant’s compliance with Tenn. Sup. Ct. R. 6, the Supreme Court shall issue a license admitting the successful applicant to the bar of Tennessee. However, the Board may revoke the Certificate of Eligibility if at any time before the administering of the oath of admission the Board receives notice of any event that would have changed the Board’s decision to approve an applicant for licensing.

(b) The license shall be in such form as may be approved by the Supreme Court. Each such license shall be signed by the members of the Board and the members of the Court.

Sec. 9.03. Effective Date of Admission.

An applicant shall not be considered admitted to the bar of Tennessee until applicant has complied with Tenn. Sup. Ct. R. 6. The license date shall bear the date of admission and will be issued by the Supreme Court.

Sec. 9.04. Duty of Applicant to Inform Board of Subsequent Events.

If at any time after approval for admission by the Board and before the issuance of a license an applicant becomes aware of any fact or circumstance which might indicate that such applicant is not entitled to admission, the applicant shall promptly advise the Board of such fact or circumstance as provided in Section 3.06 or 5.04.

Sec. 9.05. Disapproval by the Supreme Court.

At any time before the issuance of a license to an applicant, the Supreme Court may for good cause disapprove the issuance of such license. On such disapproval, the Court shall enter an order stating the grounds for such disapproval and may refer the matter to the Board for such further action as the Court may deem appropriate.

Sec. 9.06. Replacement License.

For good cause shown, the Board may issue a replacement license to any person who is currently licensed to practice law in Tennessee and is in good standing and active status. The Board shall detail the process and items necessary for requesting a replacement license in the Board Policies and Procedures.

Sec. 9.07. Denial of License.

If the decision of the Board to deny an application is based, in whole or in part, on the failure of the applicant to demonstrate compliance with the Character and Fitness Standard in Section 6.01, the applicant may not reapply for admission within a period of six years after the issuance of the order denying the application. An applicant who is not permitted to reapply for admission under this provision may seek permission to reapply for admission no earlier than three years after the issuance of the order denying admission. The petition must establish that the applicant understands the reasons the Board denied the application and must explain how the applicant has remediated the past conduct. The petition shall comply with Section 13.02. If the applicant's petition is unsuccessful, the applicant may not reapply for admission until the expiration of the six-year period.

ARTICLE X. SPECIAL OR LIMITED PRACTICE

Sec. 10.01. Registration of In-house Counsel.

(a) A lawyer who is admitted to the practice of law in another U.S. jurisdiction or is a foreign lawyer who is employed as a lawyer by an organization, the business of which is lawful and consists of activities other than the practice of law or the provision of legal services, and who has a systematic and continuous presence in this jurisdiction pursuant to Tenn. Sup. Ct. R. 8, RPC 5.5(d)(1), shall complete the requirements for registration as in-house counsel within 180 days of the commencement of employment as a lawyer (the "Registration Period.").

(1) A complete application for registration requires submitting to the Board the following:

(A) The application, including an NCBE Background Investigation Application and all required supporting documents, in the manner established by the Board;

(B) The fee in the amount set by the Board under Section 11.01;

(C) Certificates of admission to the highest court for each United States and foreign jurisdiction to which the lawyer is admitted;

(D) Certificates of status and current good standing in all United States and foreign jurisdictions in which the lawyer is admitted to practice law; and

(E) A declaration from an officer, director, or general counsel of the employing entity in the form provided by the Board attesting to the lawyer's employment by the entity, the date employment began, and the capacity in which the lawyer is so employed, and stating that the employment conforms to the requirements of this Rule.

(F) For any documents that are not in English, the lawyer shall submit an English translation and satisfactory proof of the accuracy of the translation.

(2) The Board shall list the items and steps necessary for a complete application in the Board Policies and Procedures.

(3) The burden of proof is on the applicant to demonstrate compliance with the eligibility requirements found in Section 1.03, the requirements for registration listed in paragraph (e), including but not limited to, the declaration from applicant's employer and other documentation requirements that might be required based on the applicant's admission status, practice, education, or citizenship.

(4) The lawyer obtains approval by the Board on a registration application that is:

(A) completed on or before expiration of the Registration Period as provided in paragraph (a) of this Section; or

(B) completed after expiration of the Registration Period and the late fee as provided in paragraph (h) of this Section has been paid.

(5) The Board has the discretion to issue approval after the Registration Period has expired. If the application was completed prior to expiration of the Registration Period, the approval shall be deemed timely, even if after the expiration of the Registration Period.

(6) For purposes of this Rule, a "foreign lawyer" is a member in good standing of a recognized legal profession in a foreign jurisdiction, the members of which are admitted to practice as lawyers or counselors at law or the equivalent and subject to effective regulation and discipline by a duly constituted professional body or a public authority. Upon recommendation of the Board, the Supreme Court may allow a foreign lawyer lawfully practicing as in-house counsel in a foreign

jurisdiction who does not meet the above requirements to register as an in-house counsel after consideration of other criteria, including the lawyer's legal education, references, and experience.

(b) A registered lawyer under this Section shall have the rights and privileges otherwise applicable to members of the bar of this State with the following restrictions:

(1) The registered lawyer is authorized to provide legal services to the entity client or its organizational affiliates, including entities that control, are controlled by, or are under common control with the employer, and for employees, officers and directors of such entities, but only on matters directly related to the registered lawyer's work for the entity and only to the extent consistent with Tenn. Sup. Ct. R. 8, RPC 1.7; and

(2) The registered lawyer shall not:

(A) Except as otherwise permitted by the rules of this State, appear before a court or any other tribunal as defined in Tenn. Sup. Ct. R. 8, RPC 1.0(m); or

(B) Offer or provide legal services or advice to any person other than as described in paragraph (b)(1), or hold himself or herself out as being authorized to practice law in this State other than as described in paragraph (b)(1); and

(C) If a foreign lawyer, provide advice on the law of this or another jurisdiction of the United States except upon the basis of advice from a lawyer who is duly licensed and authorized to provide such advice.

(c) Notwithstanding the provisions of paragraph (b)(2)(A) and (B), above, a registered lawyer under this Section, other than a foreign lawyer, is authorized to provide pro bono legal services through an established not-for-profit bar association, pro bono program or legal services program or through such organization(s) specifically authorized in this jurisdiction.

(d) A registered lawyer under this Section shall:

(1) Complete the registration process with the Board of Professional Responsibility within thirty days of approval of the registration by the Board under paragraph (a)(3) of this Section;

(2) Comply with the annual registration requirements and pay all annual fees payable by active members of the bar as required under Tennessee Supreme Court Rule 9, Section 10;

(3) Fulfill the continuing legal education requirements that are required of active members of the bar; and

(4) Report to the Board, within thirty days, the following:

(A) Termination of the lawyer's employment as provided in paragraph (f)(1) of this Section;

(B) Whether or not public, any change in the lawyer's license status in another jurisdiction, including by the lawyer's resignation; and

(C) Whether or not public, any disciplinary charge, finding, or sanction concerning the lawyer by any disciplinary authority, court, or other tribunal in any jurisdiction.

(e) A lawyer who is registered or who is required to register under this Section shall be subject to Tenn. Sup. Ct. R. 8 (Rules of Professional Conduct) and all other laws and rules governing lawyers admitted to the active practice of law in this State. The Board of Professional Responsibility has and shall retain jurisdiction over the lawyer who is registered or required to register with respect to the conduct of the lawyer in this or another jurisdiction to the same extent as it has over lawyers generally admitted in this State.

(f) A registered lawyer's rights and privileges under this Section automatically terminate when:

(1) The lawyer's employment with the entity employing the lawyer at the time the lawyer becomes registered;

(2) The lawyer is suspended or disbarred from practice in any jurisdiction or any court or agency before which the lawyer is admitted;

(3) The lawyer fails to maintain active status in at least one jurisdiction; or

(4) The lawyer fails to comply with the requirements in paragraph (d)(1)-(4), above.

Upon the occurrence of one or more of the foregoing events, the registered lawyer shall give written notice within thirty days of the terminating event to the Board and to the Board of Professional Responsibility.

(g) A registered lawyer whose registration is terminated under paragraph (f)(1) above, may be reinstated within 180 days of the end of the lawyer's previous registered employment by completing the requirements for reinstatement of registration (the "Registration Period"):

(1) The complete application for reinstatement of registration requires submitting to the Board the following:

(A) The application for reinstatement of registration in a form prescribed by the Board, including all required supporting documents and submitted in the manner established by the Board;

(B) The reinstatement fee set by the Board pursuant to Section 11.01;

(C) Certificates of Admission and Good Standing as prescribed by the Board; and

(D) A declaration from an officer, director, or general counsel of the new employing entity in the form provided by the Board as prescribed in Subsection (a)(1)E).

(2) The Board shall list the items and steps necessary for a complete application for reinstatement of registration in the Board Policies and Procedures.

(3) The lawyer obtains approval by the Board on a reinstatement application that is completed on or before the expiration of the Reinstatement Period.

(4) The Board has the discretion to issue approval after expiration of the Reinstatement Period upon submission of a timely completed reinstatement application. Such approval is timely and the lawyer shall not be considered to have engaged in unauthorized practice of law if the Board approves the timely completed reinstatement application after the Reinstatement Period.

(5) A lawyer whose employment ends and who does not obtain new in-house counsel employment in Tennessee within 180 days of termination of registration under paragraph (f)(1) of this Section, or who obtains new In-house Counsel employment in Tennessee but does not complete the application for reinstatement of registration prior to expiration of the Reinstatement Period, must submit a new application to register as provided in paragraph (a) of this Section.

(h) A lawyer under this Rule who fails to complete the registration application under paragraph (a) of this Section prior to the expiration of the Registration Period shall be:

(1) Permitted to register under this Section as provided in paragraph (a), above but will be required to pay a late registration fee as provided in the fee schedule established under Section 11.01;

(2) Subject to professional discipline in this jurisdiction;

(3) Ineligible for admission pursuant to Section 5.01 of this Rule;

(4) Referred by the Board to the Board of Professional Responsibility; and

(5) Referred by the Board to the disciplinary authority of the jurisdiction(s) of licensure.

(i) A lawyer's service to the lawyer's employer before timely registration under this Rule shall not constitute the unauthorized practice of law or otherwise be treated as violating Tenn. Sup. Ct. R. 8, RPC 5.5 as long as the services are permitted under this Rule for registered lawyers and the lawyer complies with the requirement to complete the registration application under paragraph (a) of this Section before the expiration of the Registration Period or to complete the reinstatement application under paragraph (g) of this Section before expiration of the Reinstatement Period.

(j) A lawyer who is eligible to register under this Section but who submits an application for admission without examination under Section 5.01, by examination under Section 3.01, by transferred UBE score under Section 3.05, or as a Spouse of a Military Servicemember under Section 10.06, must register to practice pending admission under Section 10.07 or also register as in-house counsel. The protections of paragraph (i) apply only to lawyers who are seeking registration as in-house counsel and do not apply for admission under other Sections of this Rule.

Explanatory Comments

Comment 10.01-1. Note that the registration requirement of this Section does not apply to an in-house lawyer to the extent the lawyer practices in Tennessee under Rule 8, § 5.5(d)(2).

Sec. 10.02. Approval of Experiential Learning Programs and Attorneys in Experiential Learning and Related Law School Programs.

(a) Experiential Learning Programs. For the purpose of this Section and Section 10.03 herein, Experiential Learning Program means an academic program administered by a law school through which a law student may enroll for academic credit in a law clinic or field placement course.

(1) A law clinic offered through an Experiential Learning Program provides substantial lawyering experience that involves advising or representing one or more actual clients or serving as a third-party neutral, and includes the following:

(A) direct supervision of the student's performance by a law school faculty member;

(B) opportunities for performance, feedback from a faculty member, and self-evaluation;
and

(C) a classroom instructional component, regularly scheduled tutorials, or other means of ongoing, contemporaneous, faculty-guided reflection.

(2) A field placement course offered through an Experiential Learning Program provides substantial lawyering experience that is reasonably similar to the experience of a lawyer advising or representing a client or engaging in other lawyering tasks in a setting outside a law clinic under the supervision of a licensed attorney or an individual otherwise qualified to supervise, and includes the following:

(A) direct supervision of the student's performance by a faculty member or field placement site supervisor;

(B) opportunities for performance, feedback from either a faculty member or a field placement site supervisor, and self-evaluation;

(C) a written understanding among the student, faculty member, and a person in authority at the field placement that describes both the substantial lawyering experience and opportunities for performance, feedback and self-evaluation; and the respective roles of faculty and any field placement site supervisor in supervising the student and in assuring the educational quality of the experience for the student, including a clearly articulated method of evaluating the student's academic performance;

(D) a method for selecting, training, evaluating and communicating with field placement site supervisors, including regular contact between the faculty and field placement site supervisors

through in-person visits or other methods of communication that will assure the quality of the student educational experience;

(E) a classroom instructional component, regularly scheduled tutorials, or other means of ongoing, contemporaneous, faculty-guided reflection;

(F) evaluation of each student's educational achievement by a faculty member; and

(G) sufficient control of the student experience to ensure that the requirements set forth in Section 10.02(a)(2)(A)-(F) are met.

(b) Approval of Experiential Learning Programs. The Board shall review a Tennessee-approved law school's Experiential Learning Program as the basis for a recommendation to the Supreme Court. Approval of the Experiential Learning Program at a Tennessee-approved school is a prerequisite for the approval of law students who are practicing under Section 10.03 in an experiential learning setting but is not required for a law school accredited by an Approved Accreditor. The criteria that serve as a basis for approval shall be:

(1) that the law school is approved under Section 17.01(a) of this Rule;

(2) that if the law school has an in-house legal clinic which directly represents clients, that the program has either an attorney licensed to practice and in good standing in Tennessee or an attorney approved for limited practice as provided in paragraph (d), below, who directs the clinic and who is employed by or associated with the law school; and

(3) that the law school's Experiential Learning Program is otherwise operated in a manner consistent with the requirements of this Rule.

(c) The Supreme Court must approve a Tennessee-approved law school's Experiential Learning Program, based on a recommendation from the Board, before any law student may practice under Section 10.03 in an experiential learning setting. Certification of an Experiential Learning Program may be withdrawn by the Supreme Court upon recommendation of the Board if the program ceases to meet the foregoing criteria. Experiential Learning Programs at law schools accredited by an Approved Accreditor do not require approval by the Supreme Court for its students to practice under Section 10.03 in an experiential learning setting.

(d) Attorneys in Experiential Learning Law School Programs. An attorney who is employed by or associated with a law school accredited by an Approved Accreditor or a Tennessee-approved law school as faculty for the Experiential Learning Program may be admitted to practice on a limited basis before the courts of this State on behalf of the Experiential Learning Program. The attorney must establish to the satisfaction of the Board that the attorney:

(1) is a member of a court of last resort of another state, a U.S. Territory or the District of Columbia;

(2) submits a certificate from the court of last resort referenced in (d)(1) certifying that the attorney is admitted to the highest court and is a member in good standing at the bar of that court, and provides a certificate or letter with information regarding disciplinary history;

(3) has not been denied admission to practice in any jurisdiction, including Tennessee;

(4) submits a statement signed by the dean of the law school where the attorney is employed or associated in a verifying employment; and

(5) has paid all required fees.

(e) The Supreme Court, upon the recommendation of the Board that the attorney satisfies all the requirements of paragraph (d), shall enter an order authorizing the attorney to practice in connection with an approved Experiential Learning Program. Upon the entry of the Court's order, the Board shall provide the attorney with a temporary certificate of admission.

(f) Admission to practice under this Section shall cease upon the first of the following to occur:

(1) after two years from the date of approval by the Court under this Section, except in the discretion of the Supreme Court in special situations for good cause shown, provided that attorneys who wish to continue to practice in this State must seek admission under Sections 3.01 (by examination), 3.05 (by transferred UBE score) or 5.01 (without examination) of this Rule so that they are eligible for licensing before the expiration of the two-year period. Time in practice pursuant to this Section will count as "active practice of law" under Section 5.01(c) for purposes of admission pursuant to Section 3.05(b) or 5.01; or

(2) cessation of the attorney's employment by or association with the law school, notice of which will be provided to the Board by the law school dean within ten days of the attorney's cessation of employment or association with the law school.

(g) Attorneys admitted to practice under this Section are subject to the Rules of Professional Conduct and may be disciplined as provided for in Tenn. Sup. Ct. R. 9.

Sec. 10.03. Law Student Practice.

(a) **Purpose.** The purpose of this Section is educational; consequently, its focus is on providing opportunities, whether credit-bearing or not, for students to further their legal training through properly supervised legal practice. Interpretation of this Section should be in accordance with its educational goals.

(b) **Definitions.** Throughout this Section:

(1) the term "approved law school" refers to any law school that has been accredited by an Approved Accreditor or a Tennessee-approved law school under Section 17.01 of this Rule;

(2) the term “Experiential Learning Program” shall incorporate the definition in Section 10.02(a);

(3) the term “director” refers to the director of the law school’s Experiential Learning Program at an approved law school as defined in paragraph (b)(1), above;

(4) the term “provide legal services” is to be construed broadly, so as to allow a law student who is admitted under this Section to provide any and all services that could be provided by a licensed attorney, subject to supervision as provided in this Rule;

(5) the term “person or entity financially unable to afford counsel” includes all persons whom any court could deem eligible for the appointment of counsel, as well as persons and entities unable to reasonably secure legal counsel for the subject matter of the representation, or who can otherwise demonstrate to the satisfaction of the director that they cannot reasonably afford counsel; and

(6) the term “governmental agency or agencies” refers to any state, county, municipal or federal government agency, department or entity located in Tennessee.

(c) **Qualified law student.** In order to perform the activities outlined in Section 10.03(g), a qualified law student is a student enrolled in an approved law school, except that the student is not required to be enrolled during a summer term or when the school is not in session, certified under Section 10.03(d), and approved under Section 10.03(e).

(d) **Certification.** The qualified law student shall:

(1) be certified by the dean of the law student’s law school or the director

(A) as having satisfactorily completed not less than one-half of the required curriculum for graduation, computed on an hourly basis;

(B) as being in academic good standing at the law school; and

(C) as meeting any other requirements the law school places on certification under this Rule; and

(2) certify in writing that he or she has read, is familiar with, and will abide by Tennessee Supreme Court Rules 8 and 9.

(e) **Approval by the Supreme Court.**

(1) The dean of the law student’s law school or the director shall file a request for approval of a qualified law student with the Clerk of the Supreme Court of Tennessee in Nashville on forms and in the format required by the Supreme Court.

(2) Upon a showing that the law student is qualified under the provisions of this Rule, the Supreme Court shall issue an order approving the law student to practice.

(3) Upon the entry of the order approving a law student to practice under this Rule, the Board shall provide the student with a certificate of registration under this Section.

(f) Duration of Law Student Practice.

(1) Eligibility. A law student's eligibility to provide service under this Rule terminates upon the earlier of:

(A) expiration of the approval by the Supreme Court;

(B) graduation from law school;

(C) receipt by the Clerk of the Supreme Court of the notice set forth in paragraph (f)(3), below that one of the following has occurred:

(i) cessation of law school enrollment before graduation;

(ii) completion of the experiential learning placement that is the basis for the law student's eligibility; or

(iii) upon written notice from the dean of the law student's law school or the director that the law student no longer meets the eligibility requirements under this Rule.

(2) **Short-term Permission to Engage in Law Student Practice.** Qualified law students whose approval to practice has expired under paragraph (f)(1) may participate in a short-term pro bono event as an approved qualified law student, provided that:

(A) the qualified law student is approved by the director for the short-term pro bono event;

(B) the qualified law student is supervised by an attorney approved by the director;

(C) the short-term pro bono event is sponsored by the law school; and

(D) the pro bono event is held on consecutive days and does not exceed ten days.

(3) **Notice Required.** Notice of an event of termination of a qualified law student's eligibility under paragraph (f)(1)(C), above shall be promptly provided by the dean of the qualified law student's law school or the director by sending notice to the Clerk of the Supreme Court in Nashville and the Executive Director of the Tennessee Board of Law Examiners.

(g) Activities.

(1) An approved qualified law student may provide legal services on behalf of any person or entity financially unable to afford counsel or on behalf of a governmental agency through:

(A) a law school clinical course;

(B) governmental agencies as defined in paragraph (b)(6);

(C) Office of the Attorney General and Reporter, District Public Defender or District Attorney General;

(D) any program funded in whole or in part by Legal Services Corporation; or

(E) a non-profit organization that, as part of its mission, provides legal services to persons or entities financially unable to afford counsel.

(2) Any pleadings, briefs, abstracts or other documents prepared by a qualified law student acting pursuant to this Rule must contain the name and signature of the qualified law student who participated in drafting it with the accompanying designation, "Qualified Law Student Approved under Tenn. Sup. Ct. R. 7, Sec. 10.03" but must also be signed by the supervising attorney (the "supervising attorney") as defined in paragraph (h), below.

(3) The rules of law and evidence relating to privileged communications between attorney and client shall govern communications made or received by qualified law students and their clients.

(h) Supervision.

(1) The qualified law student shall be under the immediate and personal supervision of an attorney who meets the requirements of paragraph (3), below. If the supervising attorney is not teaching in a law school clinic, the attorney must be approved in writing by the dean or director.

(2) It is the responsibility of the supervising attorney to ensure that the student is properly supervised and instructed, including compliance with Tenn. Sup. Ct. R. 8, RPC 5.3, and be present for administrative or adjudicatory proceedings; however, it is not necessary that the licensed attorney be personally present when the student engages in other activities such as interviewing, investigation, drafting and negotiation.

(3) The supervising attorney must:

(A) be a lawyer who is admitted to practice, in active status, and in good standing in Tennessee;

(B) have practiced for a minimum of three years;

(C) assume professional responsibility for the direct and immediate supervision for the professional work of the qualified law student; and

(D) be a full-time employee of an entity identified in paragraph (g)(1)(A)-(E), above, and supervise the qualified law student in connection to that employment.

(i) Disciplinary Complaints.

(1) In the event a disciplinary complaint is filed based on a qualified law student's participation under these Rules, the authority with whom such complaint is filed shall immediately report the same to the dean of the student's law school and the Board of Law Examiners. Upon receipt of notice of a complaint, the dean or director shall provide the Board of Professional Responsibility the name of the supervising attorney for the law student against whom the complaint is filed.

(2) By operation of this Rule, a disciplinary complaint against a qualified law student constitutes a complaint against the supervising attorney. The Board of Professional Responsibility shall have jurisdiction over the complaint against both the student and the supervising attorney and, in the discretion of the Board of Professional Responsibility, may refer the complaint against the student to the Office of the Attorney General and Reporter, the Board of Law Examiners, or the law school.

(j) Compensation. This Rule does not preclude compensation of a qualified law student when consistent with the law school's academic policies. However, in no event shall the qualified law student be employed or compensated directly by a client for services rendered.

Sec. 10.04. Practice before Admission by Examination Score.

(a) Eligibility.

(1) An applicant may register with the Board in order to perform the services described in paragraph (c) of this Section provided the applicant:

(A) has never been licensed to practice law in another state in the United States, the District of Columbia, or U.S. Territories;

(B) has submitted an application pursuant to Section 3.01 or 3.05 of this Rule;

(C) meets the educational requirements of Section 2.01 and 2.02 of this Rule;

(D) works in Tennessee under the supervision of a licensed lawyer who is admitted and in good standing in Tennessee; and

(E) has:

(i) not yet had an opportunity to take the Tennessee bar examination;

(ii) taken the examination but not yet received notification of the results of the examination; or

(iii) taken the examination or submitted a UBE score transfer application, but has not yet been admitted as a member of the Tennessee bar.

(2) An applicant is eligible for supervised practice under this Section beginning with the submission of the first Application to the Bar of Tennessee or the graduation from law school, whichever is later.

(3) Applicants registered for supervised practice who are unsuccessful on the examination and who submit a re-examination application for the next available exam within ten days of the release of examination results may continue to practice under supervision subject to the time limits in paragraph (4). The privilege to engage in supervised practice expires for applicants who are unsuccessful on the examination and do not submit a re-examination application within ten days of notification of examination results.

(4) The privilege to engage in supervised practice expires: upon admission of eligible examination or UBE score transfer applicants; as provided in paragraph (3) for unsuccessful examinees; upon admission in any other state, the District of Columbia, or U.S. Territory; or upon issuance of an order to show cause. In no event shall the privilege to engage in supervised practice continue for more than sixteen months from the date an applicant graduated from law school.

(5) The Board shall have no discretion to extend the time an applicant may engage in limited practice.

(6) An applicant who is licensed in another jurisdiction and seeking admission under Sections 3.01, 3.05, 5.01, or 10.06 of this Rule may register to practice pending admission as provided in Section 10.07.

(b) Registration Process. In order to perform the services described in paragraph (c), the applicant must submit to the Board the NCBE application, the Tennessee Supplemental application and the fees associated with the application. Additionally, the applicant must register for supervised practice according to the procedures established by the Board and pay the required fee. The applicant must include with the registration a declaration from an attorney licensed and in good standing in Tennessee stating that the attorney agrees to undertake the supervision of the applicant in accordance with this Section. The declaration shall comply with the requirements of Section 13.09

(c) Supervision.

(1) The applicant shall be under the immediate and personal supervision of an attorney who meets the requirements of paragraph (3), below.

(2) It is the responsibility of the supervising attorney to ensure that the applicant is properly supervised and instructed including compliance with Tenn. Sup. Ct. R. 8, RPC 5.3, and be present as provided in paragraph (d)(2), below; however, it is not necessary that the supervising attorney be present when the applicant engages in activities such as interviewing, investigation, drafting, and negotiation.

(3) The supervising attorney must:

(A) be a lawyer who is admitted to practice, in active status, and in good standing in Tennessee;

(B) have practiced for a minimum of three years; and

(C) assume professional responsibility for the direct and immediate supervision for the professional work of the applicant.

(d) **Services Permitted.** Under the supervision of the supervising attorney and with the written consent of the person on whose behalf the applicant is acting, an applicant approved for supervised practice may render the following services:

(1) Applicant may counsel and advise clients, negotiate in the settlement of claims, represent clients in mediation and other non-litigation matters, and engage in the preparation and drafting of legal instruments. Any communication other than internal communications may be signed by the applicant with the accompanying designation “Tennessee Bar Applicant” but must also be signed by the supervising attorney.

(2) Applicant may appear in the trial courts, courts of review and administrative tribunals of this state, including court-annexed arbitration and mediation, subject to the following qualifications:

(A) Written consent to representation of the person on whose behalf the applicant is acting shall be filed in the case and brought to the attention of the judge or presiding officer.

(B) Appearances, pleadings, motions, and other documents to be filed with the court may be prepared by the applicant and may be signed with the accompanying designation “Tennessee Bar Applicant.”

(C) In criminal cases in which the penalty may be imprisonment, in proceedings challenging sentences of imprisonment, and in civil or criminal contempt proceedings, the applicant may participate in pretrial, trial, and post-trial proceedings as an assistant of the supervising attorney, who shall be present and responsible for the conduct of the proceedings.

(D) In all other civil and criminal cases in the trial courts or administrative tribunals, the applicant may conduct all pretrial, trial, and post-trial proceedings with the Supervising Attorney present unless the applicant is permitted by the judge or presiding officer to participate without direct supervision.

(E) In matters before appellate courts, the applicant may prepare briefs, excerpts from the record, abstracts, and other documents. If any such filings set forth the name of the applicant as a counsel of record in addition to the supervising attorney, the name of the applicant must be accompanied by the designation “Tennessee Bar Applicant” but must be filed in the name of the supervising attorney. Upon motion by the supervising attorney, the applicant may request

authorization to argue the matter before the appellate court but, even if the applicant is permitted to argue, the supervising attorney must be present and is responsible for the conduct of the applicant at the hearing.

(e) **Compensation.** An applicant rendering services authorized by this Section shall not request or accept any compensation from the person for whom applicant renders the services. The supervising attorney may make an appropriate charge. The applicant may be compensated as an employee of a firm, agency, clinic or other organization so long as the rate of such compensation is established independent of compensation paid for representation.

(f) **Aid in Establishing Supervised Practice.** Any applicant who otherwise meets all the qualifications contemplated in this Section, but who is unable to make a connection or association with a practicing attorney for purposes of serving as a Supervising Attorney as required by this Section may apply to any trial judge holding court in the county of such applicant's residence for aid in the establishment of a supervised practice under this Section. Such practice must accord strictly with the provisions of this Section. No deviation will be permitted.

(g) **Disciplinary Complaints.**

(1) In the event a disciplinary complaint is filed in a case in which an applicant has been permitted to practice under this Section, the authority with whom such complaint is filed shall immediately report the complaint to the Board. Upon receipt of a notice of a complaint, the Board shall provide the Board of Professional Responsibility the name of the supervising attorney for the applicant.

(2) By operation of this Rule, a disciplinary complaint against an applicant permitted to practice under this Section constitutes a complaint against the supervising attorney. The Board of Professional Responsibility shall have jurisdiction over the complaint against both the applicant and the supervising attorney and may refer the complaint against the applicant to the Office of the Attorney General and Reporter or the Board.

(h) **Board Permitted to Disclose.** Notwithstanding the provisions of Section 12.11, the Board may disclose that an applicant is authorized to practice pursuant to this Section and may disclose if and when that authorization is terminated.

Sec. 10.05. Conditional Admission.

(a) **Definition.** An applicant whose previous conduct or behavior would or might result in a denial of admission may be admitted to the practice of law on a conditional basis ("Conditional Admission") in accordance with this Rule. The Board shall recommend relevant conditions in a confidential order (the "Conditional Admission Order") relative to the conduct or the cause of such conduct with which the applicant must comply during the period of conditional admission. The Board may order Conditional Admission to permit an applicant to practice law while the applicant's continued participation in an ongoing course of treatment, remediation, or other monitoring for previous misconduct or evidence of unfitness is monitored to protect the public.

(b) Requirements for Issuance of Conditional Admission Order.

(1) The Board may issue a Show Cause Order pursuant to Section 13.01 in order to establish whether Conditional Admission is appropriate for an applicant who has engaged in conduct or otherwise demonstrated to the Board that the applicant may not presently meet the applicable Character and Fitness Standard under Section 6.01.

(2) The Board may consent to entry of an Agreed Conditional Admission Order for an applicant based on the applicant's record and the recommendation of qualified professionals, when appropriate, and the determination that the applicant currently satisfies all requirements for admission and the applicable Character and Fitness Standard under Section 6.01 while engaged in a sustained and effective course of treatment, remediation, or monitoring. The Agreed Conditional Admission Order shall include the terms and conditions with which the applicant must comply and must be signed by the applicant and the Executive Director on behalf of the Board.

(3) A Show Cause Order issued under paragraph (c)(1) may be resolved without hearing under paragraph (c)(2), above, upon the filing of a response that demonstrates the applicant satisfies the applicable character and fitness standard and meets the requirements of a sustained and effective course of treatment, remediation or monitoring.

(c) Conditions. The Board in its discretion may condition an applicant's admission by requiring compliance with conditions that are designed to detect behavior that could render the applicant unfit to practice law and to protect the clients and the public, The conditions shall be tailored to detect and deter conduct, conditions or behavior which could render an applicant unfit to practice law or pose a risk to clients or the public and to encourage continued abstinence, treatment, remediation, counseling, or other support. The conditions should be established on the basis of clinical or other appropriate evaluations, take into consideration the recommendations of qualified professionals, when appropriate, and protect the privacy interests of the conditionally admitted lawyer to professional treatment records to the extent possible. The terms shall be set forth in a ("Conditional Admission Order"). The Conditional Admission Order shall be made a part of the conditionally admitted lawyer's application file and shall remain confidential, except as provided in this and any other applicable rules. Upon entry of the Conditional Admission Order and completion of all eligibility requirements, the Board shall issue the Temporary Certificate of Eligibility for Admission pursuant to Section 9.01 of this Rule. The Board shall have no further authority once a conditionally admitted lawyer is admitted to practice law in Tennessee.

(d) Notification to the Board of Professional Responsibility. Immediately upon issuance of a Conditional Admission Order, the Board shall transmit a copy of the order to the Board of Professional Responsibility. If the Board of Professional Responsibility or any other jurisdiction's disciplinary authority receives a complaint alleging unprofessional conduct by the conditionally admitted lawyer, or if the Monitoring Authority designated pursuant to paragraph (f) notifies the Board of Professional Responsibility of substantial noncompliance with the Conditional Admission Order, the Board of Professional Responsibility shall request a copy of relevant portions of the lawyer's bar application file, and the Board shall promptly provide the requested materials to the Board of Professional Responsibility.

(e) **Length of Conditional Admission.** The conditional admission period shall be set in the Conditional Admission Order.

(f) **Compliance with Conditional Admission Order.** During the conditional admission period, the Monitoring Authority shall be the Tennessee Lawyers Assistance Program, unless a different monitoring authority, such as a practice monitor, is assigned in the Conditional Admission Order, with the consent of the Board of Professional Responsibility. The Monitoring Authority shall take such action as is necessary to monitor compliance with the terms of the Conditional Admission Order, including, but not limited to, requiring that the conditionally admitted lawyer submit written verification of compliance with the conditions, appear before the Monitoring Authority, and provide information requested by the Monitoring Authority.

(g) **Costs of Conditional Admission.** The applicant shall be responsible for any direct costs of investigation, testing and monitoring. Other costs shall be borne in accord with this Rule or any other applicable Tennessee Supreme Court Rule.

(h) **Failure to Fulfill the Terms of Conditional Admission.** Failure of a conditionally admitted lawyer to fulfill the terms of a Conditional Admission Order may result in a modification of the Conditional Admission Order which may include extension of the period of conditional admission, suspension or revocation of the Conditional Admission Order, or such other action as may be appropriate under Tenn. Sup. Ct. R. 9, including temporary suspension pursuant to Tenn. Sup. Ct. R. 9, § 12.3. The Monitoring Authority shall promptly notify the Board of Professional Responsibility whenever it determines that the conditionally admitted lawyer is in substantial noncompliance with the terms of the Conditional Admission Order. Notification of such noncompliance by the Monitoring Authority shall automatically extend the conditional admission until disposition of the matter by the Board of Professional Responsibility and any resulting appeals.

(i) **Violation of Conditional Admission Order.** The Board of Professional Responsibility shall initiate proceedings to determine whether the conditional admission should be revoked, extended or modified by filing a petition to review conditional admission. Consideration and disposition of any such petition shall follow the procedure for formal proceedings as set forth in Tenn. Sup. Ct. R. 9; however, the only issue to be determined is whether the conditional admission should be revoked, extended or modified. Any decision to extend or modify the Conditional Admission Order must be made in consultation with the Monitoring Authority. If the conditionally admitted attorney was temporarily suspended due to substantial noncompliance with a monitoring agreement, any disposition of the petition to review conditional admission may include dissolution of the temporary suspension.

(j) **Expiration of Conditional Admission Order.** Unless the Conditional Admission Order is revoked or extended as provided herein, upon completion of the period of conditional admission, the conditions imposed by the Conditional Admission Order shall expire and the applicant shall be deemed unconditionally admitted. The Monitoring Authority shall notify the Board of Professional Responsibility and the conditionally admitted lawyer of such expiration.

(k) **Confidentiality.** Except as otherwise provided herein, and unless the Supreme Court orders otherwise, the fact that an individual is conditionally admitted and the terms of the Conditional Admission Order shall be confidential provided that the applicant shall disclose the entry of any Conditional Admission Order to the admissions authority in any jurisdiction where the applicant applies for admission to practice law. In addition to ensuring that the relevant records of the Board, the Board of Professional Responsibility and the Tennessee Lawyers Assistance Program are confidential, the Board shall use reasonable efforts to structure the terms and conditions of the conditional admission so that the conditional admission does not pose a significant risk to confidentiality. These provisions for confidentiality shall not prohibit or restrict the ability of the applicant to disclose to third parties that the applicant has been conditionally admitted under this Rule, nor prohibit requiring third-party verification of compliance with the terms of the Conditional Admission Order by admission authorities in jurisdictions to which the conditionally admitted lawyer may subsequently apply.

(l) **Education.** The Board shall make information about its conditional admission process publicly available and shall reasonably cooperate with the Tennessee Lawyers Assistance Program in its efforts to educate law students, law school administrators and applicants for bar admission regarding the nature and extent of chemical abuse, dependency, and mental health concerns that affect law students and lawyers.

(m) **Disciplinary Complaints.** The provisions of this Section shall not affect the authority of the Board of Professional Responsibility, pursuant to Tenn. Sup. Ct. R. 9, to investigate a complaint filed against a conditionally admitted lawyer by a person or entity other than the Monitoring Authority, to recommend a disposition of such complaint or to initiate a formal disciplinary proceeding as to such complaint, pursuant to Tenn. Sup. Ct. R. 9, § 15.

Explanatory Comments

Comment 10.05-1. Some examples of when Conditional Admission may be used to show that applicant satisfies the Character and Fitness Standard under Section 6.01, except that the applicant is engaged in a sustained and effective course of treatment, remediation, or other monitoring, include but are not limited to:

(A) Substance abuse, misuse or dependence;

(B) A diagnosed mental or physical impairment that, should it recur, would likely impair the applicant's ability to practice law or would pose a threat to the public; or

(C) Neglect of financial affairs, disregard or neglect of personal or professional obligations, or demonstration of unprofessional conduct such as failure to comply with deadlines and time constraints, or failure to conduct oneself diligently and reliably, that would otherwise render the applicant unfit for admission to the bar.

Comment 10.05-2. Examples of types of conditions that may be required for Conditional Admission, in the discretion of the Board, include, but are not limited to:

- (A) alcohol, drug, or mental health treatment;
- (B) medical, psychological, or psychiatric care;
- (C) participation in group therapy or support;
- (D) random chemical screening;
- (E) office practice or debt management counseling
- (F) monitoring, supervision, mentoring; and/or
- (G) other conditions deemed appropriate by the Board.

Sec. 10.06. Temporary License of a Military Servicemember or the Spouse of a Military Servicemember.

(a) **Qualifications.** An applicant who meets the requirements listed in (1) through (10), below may be temporarily licensed and admitted to the practice of law in Tennessee, upon approval of the Board. Applicant:

(1) is an active duty servicemember or the spouse of an active duty servicemember of the United States Uniformed Services as defined by the Department of Defense and that servicemember is on military orders stationed in the State of Tennessee or Fort Campbell, Kentucky;

(2) has been licensed and admitted by examination to practice law before the court of last resort in at least one other jurisdiction of the United States;

(3) meets the educational requirements of Sections 2.01 and 2.02 of this Rule;

(4) has achieved a passing score on the Multistate Professional Responsibility Examination (“MPRE”) as it is established in Tennessee at the time of application;

(5) is currently an active member in good standing in every jurisdiction to which the applicant has been admitted to practice, or has resigned or been administratively revoked while in good standing from every such jurisdiction without any pending disciplinary actions;

(6) is not currently subject to lawyer discipline in any other jurisdiction;

(7) is physically residing in Tennessee or Fort Campbell, Kentucky, due to the servicemember’s military orders;

(8) has never failed the Tennessee bar examination;

(9) has certified that the applicant has read and is familiar with the Tennessee Rules of Professional Conduct; and

(10) has paid such fees as may be set by the Board.

(b) Application Requirements. The burden of proof is on the applicant to demonstrate compliance with the eligibility requirements found in Section 1.03 and the requirements for admission listed in this Section including but not limited to other documentation requirements that might apply based on the applicant's admission, practice, education, or citizenship. Any applicant seeking a temporary license under this Section 10.06 to practice law in Tennessee shall:

(1) file an application for Temporary License for Servicemember's Spouse and an application for character investigation, including all required supporting documents, in the manner established by the Board;

(2) submit a copy of the applicant's Military Spouse Dependent Identification and documentation evidencing a spousal relationship with the servicemember;

(3) provide a copy of the servicemember's military orders to a military installation in Tennessee or Fort Campbell, Kentucky, or a letter from the servicemember's command verifying that the requirement in Section 10.06(a)(8) is met;

(4) submit certificate(s) of admission from the highest court of each state to which the applicant has been admitted and certificate(s) of good standing with disciplinary history(ies) to demonstrate satisfaction of the requirements of Section 10.06(a)(5);(5) pay the fee established pursuant to Section 11.01 of this Rule; and

(5) comply with the provisions of Sections 1.07 of this Rule.

(c) Issuance, Renewal and Subsequent Application.

(1) Issuance. Within 30 days of approval and certification by the Board, the applicant for temporary license shall complete registration with the Board of Professional Responsibility, pay the applicable fees, and take the oath of admission as set forth in Sections 9.01 and 9.02 of this Rule. An attorney temporarily licensed pursuant to this Section shall be subject to the same membership obligations, including payment of fees and continuing legal education requirements, as other active members of the Tennessee bar, and all legal services provided in Tennessee by a lawyer licensed and admitted pursuant to this Section shall be deemed the practice of law and shall subject the attorney to all rules governing the practice of law in Tennessee, including the Tennessee Rules of Professional Conduct. The original term of the license is two years from the date the applicant takes the oath of admission.

(2) Duration and Renewal.

(A) Persons who hold a temporary license under this provision may apply for subsequent one-year extensions to their license upon filing an application for extension with the Board. The

application for extension must include sworn verification that the temporarily licensed attorney continues to meet all of the qualifications for temporary license as set forth in paragraphs (a), (b) and (c) of this Section, and include the required fee for the application. A request for an extension must be submitted to the Board at least one month before the expiration of the temporary license. A request for an extension must be approved by both the Board and the Supreme Court to be effective.

(B) When the active duty servicemember is assigned to an unaccompanied or remote follow-on assignment and the temporarily licensed attorney continues to physically reside in Tennessee or Fort Campbell, Kentucky, the temporary license may be renewed until the active duty servicemember's unaccompanied or remote assignment ends, provided that the attorney spouse complies with the other requirements for renewal.

(C) Subsequent Applications. A temporarily licensed attorney who wishes to become a permanent member of the bar of Tennessee may apply for admission under Sections 3.01, 3.05, or 5.01 of this Rule for the standard application fee minus the application fee paid to the Board for the application for temporary license, not including any fees for requests for extension of the temporary license or fees for practice pending admission under Section 10.07.

(d) Termination.

(1) Event of Termination. An attorney's temporary license to practice law pursuant to this Section shall immediately terminate and the attorney shall immediately cease all activities under this Section upon the occurrence of any of the following:

(A) the spouse's discharge, separation or retirement from active duty in the United States Uniformed Services, or the spouse's no longer being on military orders stationed in the State of Tennessee or Fort Campbell, Kentucky, except as provided in Section 10.06(c)(2)(B);

(B) failure of the temporarily licensed attorney to meet any licensing requirements applicable to all active attorneys possessing a license to practice law in this State, including failure to submit a timely application to renew the temporary license;

(C) the attorney no longer physically residing within the State of Tennessee or at Fort Campbell, Kentucky;

(D) the request of the temporarily licensed attorney;

(E) the issuance to the temporary attorney of a Tennessee license under Sections 3.01, 3.05, 5.01 of this Rule;

(F) the temporarily licensed attorney receiving a failing score on the Tennessee bar examination; or

(G) the suspension, disbarment or other action affecting the temporarily licensed attorney's good standing with the bar of Tennessee or any other jurisdiction in the United States in which the temporarily licensed attorney is licensed.

(2) Notices Required.

(A) An attorney temporarily licensed under this Section shall provide written notice to the Board and the Board of Professional Responsibility of any Event of Termination within thirty days of the occurrence thereof;

(B) Within thirty days of the occurrence of any Event of Termination, the temporarily licensed attorney shall:

(i) provide written notice to all his or her clients that he or she can no longer represent such clients and shall furnish proof to the Board and the Board of Professional Responsibility within forty-five days of such notification; and

(ii) file in each matter pending before any court or tribunal in this State a notice that the attorney will no longer be involved in the matter, which shall include such other attorney licensed to practice law in Tennessee selected by the client, as counsel in the place of the temporarily licensed attorney.

Sec. 10.07. Practice Pending Admission by Applicant Licensed in Another Jurisdiction.

A lawyer who is licensed to practice law in another state in the United States, the District of Columbia, or a U.S. Territory and who has submitted an application for admission to practice law in Tennessee under Section 3.05, 5.01, or 10.06 of this Rule or plans to submit an application under Section 3.01 of this Rule as provided in paragraph (b)(2)(A) of this Section, may practice law in Tennessee during the pendency of the application for admission by complying with this Section.

(a) Eligibility to Apply for Practice Pending Admission.

(1) **Nonwaivable requirements.** To be eligible to apply to practice law in Tennessee pending admission, the applicant must meet each of the following requirements:

(A) the applicant has never been permanently or temporarily disbarred or suspended from practicing law in any jurisdiction in which the attorney has been licensed;

(B) the applicant's license to practice law in at least one jurisdiction in which applicant is admitted is active and in good standing;

(C) the applicant has not engaged in the unauthorized practice of law; and

(D) the applicant reasonably expects his or her application for permanent admission under Section 3.01, 3.05, 5.01, or 10.06 of this Rule to be granted.

(2) Waivable Requirements.

(A) To be eligible to apply to practice law in Tennessee pending admission, the applicant must not previously have been denied admission to practice law in any jurisdiction, including Tennessee. The Board may exercise its discretion, however, to waive this requirement.

(B) To be eligible to apply to practice law in Tennessee pending admission, the applicant must not have previously practiced in Tennessee pursuant to this Section. The Board in its discretion, however, may waive this requirement.

(C) The request for waiver must comply with Section 13.02. In the event that an applicant requests that the Board waive one or both of the requirements in 10.07(a)(2), or requests waiver of a deadline under Section 10.07(b)(2), the applicant may not begin practicing pending admission until the Board has notified the applicant in writing that such waiver has been granted.

(b) Requirements for Applying for Practice Pending Admission.

(1) Completed Registration Application and Payment of Fee Required. Before practicing law in Tennessee pending admission, the applicant must:

(A) submit to the Board a Registration Application for Practice Pending Admission in the form provided by the Board and provide all supporting documents and information required by the Board;

(B) submit to the Board a declaration that complies with the requirements of Section 13.09, executed by a lawyer who is permanently admitted to practice law in Tennessee, is in good standing, and whose license is in active status stating that the lawyer agrees to associate with the applicant during the applicant's practice in Tennessee pending admission;

(C) if the applicant does not meet a waivable requirement in Subsection 10.07(a)(2), the applicant must submit with the application for practice pending admission a written request for waiver of the requirement stating the reasons why it should be waived and must provide all supporting documents and information required by the Board's application form.

(D) provide written notice to the Tennessee Board of Professional Responsibility stating that the applicant intends to practice law in Tennessee pursuant to this Section. The notice must include a copy of the declaration referenced in Subsection 10.07(b)(1)(B). A copy of the notice must be sent to the Board.

(2) Time for Applying for Practice Pending Admission.

(A) An applicant for admission by examination under Section 3.01 of this Rule may register to practice pending admission in Tennessee under Section 10.07 as follows:

(i) as soon as the applicant has submitted an application for admission under Section 3.01; or

(ii) before submitting an application for admission under Section 3.01 if the applicant establishes an office or a continuing, systematic presence in Tennessee for the purpose of practicing law when the time for filing an application under Section 3.03 is not open, provided the applicant submits the application under Section 3.01 no later than 10 days after applications open under Section 3.03.

(iii) In any event, an applicant for admission under Section 3.01 who wishes to practice in Tennessee pending admission must register to practice pending admission no later than 30 days after establishing an office or a continuing, systematic presence in Tennessee for the purpose of practicing law.

(B) An applicant for admission under Section 3.05, 5.01, or 10.06 of this Rule may register to practice pending admission in Tennessee under Section 10.07 as soon as the applicant has submitted an application for admission under Section 3.05, 5.01, or 10.06. In any event, an applicant for admission under Section 3.05, 5.01, or 10.06 who wishes to practice in Tennessee pending admission must register to practice pending admission no later than 30 days after establishing an office or a continuing, systematic presence in Tennessee for the purpose of practicing law.

(C). The Board may waive the 30-day deadlines of Section 10.07(b)(2)(i) and (ii) for good cause shown. An applicant who seeks such a waiver must comply with the requirements of Section 10.07(b)(1)(iii).

(3) Beginning Practice Pending Admission. Except as otherwise provided in Section 10.07, as soon as all requirements in Subsections 10.07(a) and (b) have been met, the Board will send an approval of the registration application to practice pending admission. Upon issuance of the approval, the applicant may begin practicing law in Tennessee in compliance with this Section.

(c) Requirements for Practicing Pending Admission. An applicant who is practicing pending admission under this Section must:

(1) comply with Rules of Professional Conduct in Tennessee Supreme Court Rule 8;

(2) not practice before any tribunal in Tennessee that requires pro hac vice admission under Tennessee Supreme Court Rule 19 unless the lawyer is first granted such admission or otherwise meets the requirements of Rule 19;

(3) not appear in any tribunal in Tennessee without co-appearance by the Tennessee-licensed attorney who has agreed to associate with the applicant;

(4) not make written or oral statements that are likely to cause a reasonable person to believe that the applicant is already permanently licensed or is guaranteed to become permanently licensed to practice law in Tennessee;

(5) include the following statement prominently in all Tennessee-related representations by and about the applicant (including without limitation correspondence, business cards,

advertisements, biographical statements, websites, social media, and documents filed in any tribunal in Tennessee): “Applicant for Tennessee law license. Practicing pending admission under Tennessee Supreme Court Rule 7, § 10.07”; and

(6) if the applicant receives notice that he or she is the subject of a disciplinary investigation or proceeding in any other jurisdiction in which the applicant has been admitted, promptly notify the Tennessee Board of Professional Responsibility and amend the applicant’s application for admission with the Board.

(d) Resignation and Replacement of Associated Tennessee Lawyer.

(1) A Tennessee-licensed lawyer who has associated with an applicant under this Section may resign from the association by providing to the Board, the Tennessee Board of Professional Responsibility, and the applicant written notice of resignation, which will take effect 14 days after the notice is provided to the applicant;

(2) If the applicant’s association with a Tennessee-licensed lawyer ceases for any reason, the applicant may continue practicing pending admission by meeting each of the following requirements no later than 10 days after the association has ceased:

(A) the applicant submits to the Board a declaration that complies with the requirements of Section 13.09, executed by a replacement lawyer who is permanently admitted to practice law in Tennessee, is in good standing, and whose license is in active status stating that the lawyer agrees to associate with the applicant during the applicant’s practice in Tennessee pending admission;

(B) the applicant provides to the Tennessee Board of Professional Responsibility written notice that the applicant intends to continue practicing law in Tennessee pursuant to this Section and a copy of the declaration from the replacement lawyer; and

(C) the applicant pays to the Board the fee for amending the applicant’s application for practice pending admission.

(e) Duration and Termination of Right to Practice Pending Admission.

(1) An eligible applicant may practice pending admission under this Rule until further order of the Board. An applicant may not practice pending admission more than once, except as may be permitted under Subsection 10.07(a)(2)(B).

(2) The applicant’s right to practice pending admission under this Section terminates:

(A) if the applicant withdraws his or her application for admission under Sections 3.07, 5.03, or 10.06, except that the applicant is not prohibited from continuing to practice pending admission if the applicant petitions to reinstate an application under Sections 5.03 or 10.06, within the time limits established in those Sections, until the petition is decided by the Board;

(B) if the applicant's application for admission to practice law in Tennessee under Section 3.01, 3.05, 5.01, or 10.06 is denied; or

(C) if the applicant fails to obtain a passing score on the bar exam or withdraws from the examination under Section 4.08, provided, however, that the applicant is not precluded from continuing to practice pending admission so long as the applicant promptly submits a new application for admission under Section 3.01 in compliance with the Board's Policies and Procedures;

(D) if the applicant becomes temporarily or permanently disbarred, is suspended from practicing law, or takes disability inactive status in any other jurisdiction in which the applicant is licensed to practice law;

(E) if a complaint is filed against the applicant with the disciplinary authority in any jurisdiction and the complaint leads to an investigation or settlement or the applicant is the subject of any criminal charges, other than the issuance of an arrest warrant, in any jurisdiction;

(F) if the applicant fails to register for admission pro hac vice under Supreme Court Rule 19 in Tennessee when required;

(G) when the applicant is not associated with a Tennessee-licensed attorney pursuant to this Section for a period of more than 10 consecutive days;

(H) if the applicant fails to comply with applicable Board Policies and Procedures relating to practice pending admission; or

(I) upon the applicant's receipt of an order from the Board that the applicant's practice pending admission is terminated.

(3) If the applicant's practice pending admission under this Section terminates, the applicant:

(A) must not undertake any new legal representation that would require the lawyer to be admitted to practice law in Tennessee;

(B) must, within 10 days after applicant's permission to practice pending admission has terminated, provide written notice to all of his or her current Tennessee clients and to opposing counsel and co-counsel in any proceeding before any tribunal in Tennessee of the termination of the lawyer's permission to practice pursuant to this Section;

(C) must cease making any representations to clients or to the public that the applicant is permitted to practice pending admission under this Section;

(D) must take all other steps reasonably necessary to protect the interests of the lawyer's clients and to meet the applicant's obligations under Tennessee Supreme Court Rule 7 and Rule 8; and

(E) must, within 10 days after applicant's permission to practice pending admission has terminated, provide written notice to the Tennessee Board of Professional Responsibility and to the Board, unless applicant has already received notice of termination from the Board, of any event that has triggered termination of the applicant's practice pending admission.

(f) Board's Discretion and Power to Disclose.

(1) The Board has the discretion to require any applicant to cease practicing law in Tennessee pending admission under this Section if the Board obtains a substantial basis for reasonable doubt that the applicant meets the requirements for admission, or is adhering to the requirements of this Rule 7 or the standards of conduct required of attorneys in this State.

(2) Notwithstanding Section 12.11, the Board may disclose to any person that an applicant is or is not authorized to practice under this Section and that such authorization has or has not terminated.

Explanatory Comments

Comment 10.07-1. In no event should an applicant under Section 10.07 practice law in Tennessee without first completing the requirements for practice pending admission, which could constitute the unauthorized practice of law. Registering to practice pending admission under Section 10.07 is not a mechanism for "curing" an applicant's prior unauthorized practice of law in Tennessee.

Comment 10.07-2. Applicants practicing under Section 10.07 should carefully review the Board's Policies and Procedures concerning deadlines for registering to take the bar exam and other requirements, which if not followed could potentially result in the termination of the right to practice pending admission under Section 10.07.

Comment 10.07-3. The associating attorney under paragraph (b)(1)(B) must be eligible to practice in all courts in which the applicant intends to practice and associate on matters in which the applicant is seeking admission pro hac vice under Tennessee Supreme Court Rule 19.

ARTICLE XI. FEES

Sec. 11.01. Schedule of Fees.

The Board shall adopt, from time to time, a schedule of fees to be paid by applicants. No fee shall be charged without the approval of the Supreme Court.

Sec. 11.02. Payment Mandatory.

No step in the admissions process may be taken except upon the payment of the fees required for that step. No license will be issued until all fees due from the applicant have been paid.

Sec. 11.03. Refunds.

Fees are non-transferable and non-refundable, except that the fee for examination may be refunded in part as provided in the schedule of fees adopted by the Board and approved by the Supreme Court, as provided in Section 11.01 of this Rule.

ARTICLE XII. ORGANIZATION AND POWERS OF BOARD

Sec. 12.01. Composition of Board and Term.

The Board shall consist of five attorneys licensed to practice law in this State and in good standing. The Board members shall be appointed to five-year terms by the Supreme Court. No member who has served two successive five-year terms shall be eligible for reappointment to the Board until three years after the termination of the most recent term.

Sec. 12.02. Officers and Allocation of Responsibilities.

The officers of the Board shall consist of a President, a Vice President and a Secretary-Treasurer. The Board may, however, allocate responsibilities not requiring formal action, as it deems appropriate, on an informal basis.

Sec. 12.03. Official Seal.

The Board shall use the Seal of the Tennessee Judiciary.

Sec. 12.04. Formal Actions; Quorum.

(a) Denial of an application to take the bar examination, or denial of a license, or the adoption of Board policies and procedures, as provided in Section 12.05, shall be taken only on formal action concurred in by at least three members of the Board, expressed in an order.

(b) Three members of the Board shall constitute a quorum.

(c) Preliminary approval to take the bar examination may be given and any other informal action may be taken by any member of the Board.

Sec. 12.05. Policy and Procedure of the Board.

(a) The Board shall have the power to adopt such statements of policy and procedure as it may deem necessary or expedient, not inconsistent with the rules of the Supreme Court. Upon adoption by the Board, the Executive Director shall provide a copy of the policy or procedure to the Court for approval.

(b) All such statements of policy and procedure shall be maintained by the Executive Director as the Board Policies and Procedures and shall be open to public inspection. The Board shall take reasonably appropriate steps to ensure that applicants are given the opportunity to become familiar with the Board Policies and Procedures, as well as with this Rule.

Sec. 12.06. Docket of Proceedings.

The Executive Director shall maintain a docket of all proceedings before the Board in which formal action of the Board is taken, or in which a hearing is held with respect to any application for admission.

Sec. 12.07. Appointment and Duties of Executive Director.

The Supreme Court shall appoint an Executive Director of the Board, who shall serve at the pleasure of the Supreme Court. Following his or her appointment, the Executive Director shall report to the Board, which shall conduct regular performance evaluations of the Executive Director and report such evaluations to the Supreme Court. The Executive Director shall be responsible for all administrative duties in the enforcement of this Rule, including, but not limited to, investigation of the character of applicants, investigation of schools, preliminary review of applications, making arrangements for the giving of examinations, keeping books, records and files, and such other responsibilities as may be delegated or directed by the Board.

Sec. 12.08. Administrative Assistance.

The Executive Director may employ such full or part-time administrative and other office assistance as he or she may deem appropriate.

Sec. 12.09. Assistants to the Board.

The Supreme Court may appoint attorneys licensed to practice law in this State and in good standing to assist in the preparation and grading of examination questions, and to perform such other duties in the enforcement of this Rule as the Board may from time to time direct. The assistants shall serve staggered terms of five years and may be reappointed to serve one or more additional five-year terms, provided that shorter terms may be designated initially by the Court where necessary to observe the above rotation practices.

Sec. 12.10. Salaries.

The Board shall fix the salary of the Executive Director and of the employees of the Board, subject to budgetary limitations and approval of the Court.

Sec. 12.11. Confidentiality of Board Records and Files.

(a) **Documents and Information Protected from Disclosure.** Except as otherwise provided in this Rule, the following documents and information shall be confidential and shall not be open to inspection by applicants or by the public: records, statements of opinion, and other information regarding an applicant for admission to the bar communicated by any entity, firm, institution, or person (including without limitation the Tennessee Lawyers Assistance Program, and the Tennessee Board of Professional Responsibility) to the Board, one of its members, the Executive Director, or employees or agents of the Board or the Executive Director; applications for admission and related documents and information, including communications; examination materials and

grades; all investigative records of the Board, including, but not limited to, documents and information, including communications, provided to or by the National Conference of Bar Examiners or a member of an investigating committee; Board meeting minutes, deliberations, opinions, communications, and working documents; documents and information relating to any hearing or formal or informal proceeding before the Board; and all documents, communications, and proceedings prepared in connection with evaluations or investigations of law schools under Sections 17.01, 17.02, 17.03, 17.04, 17.05, 17.06, 17.07, and 17.10 of this Rule.

(b) Permitted Disclosures. Tennessee Lawyers Assistance Program

(1) The Board may release information that is otherwise confidential to the National Conference of Bar Examiners and to the bar admissions authority of any jurisdiction in the United States so long as the applicant has provided to the Board a written request and a signed and notarized authorization and release and the receiving authority has agreed not to give the information to the applicant; and

(2) The Board may release information that is otherwise confidential to any person upon written application to and authorization by an order of the Tennessee Supreme Court.

(3) Upon written request, the Board may release information that is otherwise confidential to disciplinary or law enforcement agencies of any jurisdiction and to the Tennessee Lawyers Assistance Program and the Board of Professional Responsibility.

(4) For examination applicants who are unsuccessful on an examination, the Board is permitted to release to the applicant answers for the legacy UBE performance tests and essay questions for that examination, as permitted and within the time frame authorized by the NCBE. The Board shall specify the process for obtaining the answers in the Board Policies and Procedures. (5) Statistical information not identified with any particular applicant and information relating to whether and when an applicant has been admitted may be released to any person.

(5) Notwithstanding Subsection 12.11(a), completion of an Application to the Bar of Tennessee constitutes applicant's permission allowing the Board to release:

(A) applicant's name, address and email address to Bar and professional legal associations in Tennessee, as approved by the Board; and

(B) for applications for admission by examination, applicant's name and exam result to the law school from which the applicant graduated.

Sec. 12.12. Board's Powers; No Power to Waive or Modify Rule of the Supreme Court.

To ensure the competence, integrity, and fitness of lawyers licensed to practice in Tennessee, and so to protect the public, the Board has the authority to implement, apply, interpret, and enforce this Rule. Except as expressly provided in this Rule, the Board has no power to waive or modify any provision of this Rule.

Sec. 12.13. Subpoena Power; Orders.

In relation to any hearing or other proceeding before the Board or any investigation by or on behalf of the Board, the Board and each member of the Board are vested with the power: to issue subpoenas for witnesses and the production of books, records, and other documents (including electronically stored information); to issue orders compelling a witness's attendance at a hearing or deposition and compelling the production of books, records, and other documents (including electronically stored information); to administer oaths to witnesses and to compel witnesses to give testimony under oath; and to have and exercise all other power and authority conferred by the laws of this State and the Rules of the Supreme Court upon Commissioners and upon Special Masters. Subpoenas shall in each instance be attested by the Clerk or a deputy clerk of the Supreme Court. Subpoenas shall be issued and enforced in accordance with the provisions of Title 24, Tenn. Code Ann.

Sec. 12.14. Counsel for Board.

(a) The Board is authorized to request any of the attorney assistants to the Board to act as counsel, or to request the State or any local bar association to furnish counsel, to assist the Board in investigations, preparation for hearings, or the conduct of hearings. Such counsel may engage in discovery, examine witnesses, submit motions and briefs, and otherwise fully litigate on behalf of the Board any show cause hearing or other proceeding under this Rule.

(b) The Attorney General shall represent the Board in any proceedings in court, including the review of Board actions in the Supreme Court.

Sec. 12.15. Immunity.

(a) Members of the Board, District Committee Members, the Executive Director, Assistants and employees of the Board shall be immune from civil suit in the course of their official duties.

(b) Records, statements of opinion, and other information regarding an applicant for admission to the bar communicated by any entity, including any person, firm or institution, without malice, to the Board, or to its members, employees or agents, are privileged, and civil suits for damages predicated thereon may not be instituted.

(c) The immunity granted in this Section shall not be construed to limit any other form of immunity available to any covered person.

ARTICLE XIII. FORMAL PROCEEDINGS BEFORE THE BOARD AND OTHER PROCEDURES

Sec. 13.01. Show Cause Orders, Show Cause Proceedings, and Other Proceedings.

(a) Grounds for Issuance of Show Cause Order. If the Board finds, from the information furnished to it or from investigations made under its authority, that grounds for doubt exist as to whether an applicant meets the applicable standard for character and fitness under Section 6.01 or has adhered

to applicant's duties under Section 6.04 or Section 6.05 the Board shall issue an order requiring the applicant to show cause why the applicant should not be denied admission or the opportunity to take the examination, as the Board may determine. The order shall state the grounds for issuing the show cause order, shall afford the applicant an opportunity to respond within a period designated therein, and shall set the date, time and place of any hearing. If the order does not set a hearing, the respondent may request one.

(b) Response to Show Cause Order. The applicant's response to the show cause order shall be in writing, under oath, and may include such additional declarations or other documents as the applicant may choose to furnish. The declaration and oath shall comply with the requirements of Section 13.09

(c) Resolution of Show Cause Order Without a Hearing. If the Board determines that a response is sufficient to satisfy the concerns of the Board, the Board shall issue an order resolving the show cause order and notify the applicant of the resolution and cancellation of any hearing.

(d) After a show cause order has issued, the Board or the Executive Director may contact the applicant in order to secure an informal resolution of the matter before resorting to further formal procedures herein provided, but no such informal disposition shall be made without the consent of the applicant.

(e) Denial Due to Failure to Meet Eligibility Requirements Without Show Cause Proceeding. If the Board finds that an applicant does not meet the requirements for the type of admission the applicant is seeking, the Board shall deny admission and issue an order that specifies grounds for the denial. Applicant is not entitled to a hearing before the Board issues an order denying an application for failure to meet eligibility requirements. An applicant who disagrees with the Board's decision may petition the Board for review under Section 13.02.

(f) Immediately upon the Board's issuance of a show cause order, the respondent is prohibited from withdrawing any pending application for admission, registration, or special practice in Tennessee. Any such application will remain pending until the Board has issued an order resolving the show cause proceeding or permitting the applicant to withdraw or proceed with the application.

Sec. 13.02. Petitions to Board.

(a) Any person who is aggrieved by any action of the Board involving or arising from the enforcement of this Rule, other than failure to pass the bar examination or a determination that an applicant has not completed the application process for an examination, or who is otherwise expressly permitted by this Rule to petition, may petition the Board for such relief as is within the jurisdiction of the Board to grant.

(b) Any such petition must:

(1) Be in writing and under oath as provided in Section 13.09;

(2) Be filed with the Executive Director within thirty days after notice of such action by the Board or as otherwise permitted by this Rule; and

(3) Must state with reasonable particularity the relief which is sought and the grounds therefor.

(c) Any such petition may:

(1) Be accompanied by such declarations which comply with the requirements of Section 13.09 and other documentary evidence as may be required by this Rule or the Board's Policies and Procedures and as the petitioner may deem appropriate;

(2) Be supported by a Memorandum of Law setting forth pertinent authorities and arguments; and

(3) May ask the Board to set the matter for hearing.

(d) The Board may grant or deny a request for a hearing and may order a hearing of any such petition on its own initiative.

Sec. 13.03. Hearings Before the Board.

(a) The Executive Director shall serve notice on the petitioner or the respondent to a show cause order and any other interested parties fixing the time and place of any hearing and indicating the matters to be heard.

(b) The petitioner or respondent and any other person made a party to the proceeding may be represented by counsel and may present evidence and argument with respect to the matters in issue.

(c) The burden of proof shall be upon the petitioner, or the respondent in the case of a show cause order.

(d) Any person having a direct interest in the matters in issue in any proceeding may, upon written motion, be allowed to intervene and become a party of record.

(e) The Board shall not be bound by the rules of evidence applicable in a court, but it may admit and give probative effect to any evidence which in the judgment of the Board possesses such probative value as would entitle it to be accepted by reasonably prudent persons in the conduct of their affairs. The Board, however, shall give effect to the rules of privilege recognized by law. The Board may exclude incompetent, irrelevant, immaterial and unduly repetitious evidence. Among other matters, the Board may consider as evidence the applicant's conduct in relation to and communications with the Board, its Executive Director, and its employees. The Board may also consider as evidence information provided to it by the Tennessee Lawyers Assistance Program under Section 6.04 of this Rule, Rule 33.05(E), and Rule 33.07. The Executive Director of the Tennessee Lawyers Assistance Program or his or her representative may attend any hearing involving an applicant who has been referred by the Board to the Tennessee Lawyers Assistance Program.

(f) All evidence, including records and documents in the possession of the Board of which it desires to avail itself, shall be offered and made a part of the record, and no factual information shall be considered by the Board which is not made part of the factual record.

(g) Documentary evidence may be received in the form of copies or excerpts, or by incorporation by reference of documentary evidence already contained in the record.

(h) The Board may take notice of judicially cognizable facts and, in addition, may take notice of general or technical facts within its specialized knowledge.

(i) The Board may cause subpoenas to be issued for such witnesses and documents as any party may in good faith and for good cause shown request in writing. The issuance and enforcement of such subpoenas are governed by Section 12.13.

(j) The Executive Director shall arrange for the presence of a court reporter to transcribe any oral hearing. In its discretion, the Board may waive the presence of a reporter and use an electronic or similar recording device. At the direction of the Board, or at the request of any party, a transcription of the hearing shall be made. If the transcription is made at the request of a party, a copy of the transcription shall be provided to the Board. If made, a copy of the transcription shall be incorporated in the record. The party requesting the transcription shall bear the cost thereof. If the Board elects to transcribe the proceedings, any party shall be provided copies thereof upon payment to the Board of a reasonable compensatory charge.

(k) At the direction of the Board and by agreement of the parties, all or part of a hearing may be conducted by telephone, or other electronic means, if each party has an opportunity to participate in, to hear, and, if technically feasible, to see the entire proceeding while it is taking place.

(l) Any member of the Board may hold hearings when authorized by the Board to do so, but any decision shall be made by a majority of the Board. Any member participating in the decision without being present for the hearing shall read the transcript of the proceedings and the entire record before the Board.

(m) The Board has the power to control its docket and all hearings and other proceedings before it and to make any procedural order, not inconsistent with this Rule, that it deems appropriate. This power includes, without limitation, the power to cancel, continue, or postpone a hearing and to require additional hearings; and to enter scheduling and discovery orders.

Sec. 13.04. Default.

(a) If a party fails to respond to a show cause order, the Board shall hold that party in default, serve a notice of default on that party, and after the period stated in that notice, enter an order taking such action as the Board deems appropriate.

(b) If a party fails to appear at a hearing, the Board shall proceed with the hearing in the absence of that party.

(c) A party who has been held in default under paragraph (a), above, may file a petition for setting aside that default within fifteen days after the entry of an order based on that default, which petition shall state with particularity the grounds thereof.

Sec. 13.05. Costs.

The Board may require a party to a proceeding to pay for or secure the costs and expenses of any hearing before the Board, in such a manner as it deems reasonably compensatory.

Sec. 13.06. Decisions of Board.

The Board's decision on any petition, show cause order, or hearing before it shall be made in writing and a copy thereof shall be mailed or emailed to all parties and counsel of record. The order shall state which Board members join in the decision and which Board members, if any, did not participate in making the decision. A participating Board member who does not join in a decision in whole or in part may write or join in a concurrence or dissent, which shall be included with the order.

Sec. 13.07. Informal Disposition.

Unless precluded by law or by this Rule, informal disposition may be had of any matter before the Board by order, stipulation, agreed settlement, or consent order.

Sec. 13.08. Motions and Other Matters Preliminary to Hearing.

(a) Any party who desires to raise any matter preliminary to the hearing or to obtain any order from the Board before the hearing shall do so by motion, which shall be made in writing, shall state with reasonable particularity the grounds therefor, and shall set forth the relief or order sought.

(b) Any member of the Board may dispose of any motion, subject to the right of review by the entire Board.

Sec. 13.09. Declarations and Documents Under Oath.

Under this Rule, a declaration must be signed under oath and dated. Whenever this Rule requires a petition or other document to be made "under oath," the document must be signed and dated and must either be duly notarized or state in substantially the following form: "I declare (certify, verify or state) under penalty of perjury that the foregoing is true and correct."

Explanatory Comments

Comment 13.09-1. The "under penalty of perjury" language is consistent with Tenn. R. Civ. P. 72.

ARTICLE XIV. REVIEW OF BOARD DECISIONS

Sec. 14.01. Petition for Judicial Review.

(a) Any person aggrieved by any order or decision of the Board may petition the Supreme Court for review thereof, unless expressly precluded from doing so under this Rule. A petition filed under this Section shall be made under oath as provided in Section 13.09 and shall state that it is a petition for judicial review under Tennessee Supreme Court Rule 7, Section 14.01. Any such petition must be filed with the Supreme Court within sixty days after entry of the order or decision of the Board and shall be served upon the Executive Director of the Board and the Attorney General and Reporter for the State of Tennessee via certified or registered U.S. mail. Successive petitions concerning the same order or decision are prohibited. A petition must certify that it is the first petition filed concerning the order or decision to be reviewed.

(b) The Court in its discretion may accept or deny the petition. On denial of the petition, the order or decision of the Board is deemed affirmed. On acceptance of the petition, the Executive Director shall certify and forward to the Clerk of the Supreme Court a complete record of the proceedings before the Board in that matter. The Board shall have thirty days after the filing of any such petition within which to file a response, which time may be extended by the Court.

Sec. 14.02. Costs.

The Supreme Court may make such orders as it may consider appropriate with respect to the payment of or security for costs and other expenses of hearings before the Court.

Sec. 14.03. Exhaustion of Board Remedies.

The Supreme Court will entertain no application or petition from any person who may be affected directly or indirectly by this Rule unless that person has first exhausted the person's remedies before the Board.

Sec. 14.04. No Review of Failure to Pass Bar Examination.

The only remedy afforded for a grievance for failure to pass the bar examination shall be the right to re-examination as herein provided.

ARTICLE XV. SURRENDER OF LAW LICENSE

Sec. 15.01. Surrender of Law License

(a) An attorney licensed to practice in Tennessee may petition the Supreme Court to accept the surrender of his or her license to practice law.

(b) The petition shall be filed in the office of the Clerk of the Supreme Court in Nashville. The petitioner shall contemporaneously serve copies of the petition upon the Chief Disciplinary

Counsel of the Board of Professional Responsibility, and the Executive Director of the Commission on Continuing Legal Education and Specialization.

(c) The petition shall state under oath:

(1) the reason(s) for the requested surrender;

(2) whether disbarment, suspension, disciplinary, or other administrative action of any nature is in effect or pending as to the petitioner;

(3) whether there is a potential grievance, complaint, disciplinary or administrative action of any nature in any jurisdiction which may likely be filed against the petitioner;

(4) whether the attorney is currently on probation, under criminal charge(s), or under investigation for criminal charge(s), of any nature in any jurisdiction.

(d) The Supreme Court may decline to consider any petition during the pendency of any of the matters described herein above.

(e) The attorney shall attach the law license to the petition or shall attach a declaration fully explaining why the license is not attached.

(f) The oath and declaration shall comply with the requirements of Section 13.09.

Sec. 15.02. Supreme Court Decision.

Upon consideration of the petition, the Supreme Court may grant the petition or deny it. If the Supreme Court grants the petition, the order accepting the surrender shall state the date the surrender shall take effect. The Clerk of the Supreme Court shall mail a copy of the order to the surrendering attorney, the Board of Professional Responsibility, the Board, and the Commission on Continuing Legal Education and Specialization.

Sec. 15.03. Effect of Order Accepting Surrender of License.

As of the effective date of the order accepting surrender, the attorney shall have no license to practice law in this state. After the effective date of the order, this license shall not be reinstated, and the attorney may not be licensed to practice law in Tennessee until he or she applies for a law license in Tennessee and meets the requirements of this Rule.

ARTICLE XVI. REINSTATEMENT OF LAW LICENSE

Sec. 16.01. Examination in Support of Reinstatement.

In accordance with Tenn. Sup. Ct. R. 9, § 30, and R. 21, § 7, an attorney who has been suspended, disbarred or assumed inactive status and who wishes to take the bar examination to establish proof of competency and learning in the law must first petition for reinstatement pursuant to Tenn. Sup.

Ct. R. 9, § 30 and/or file an application for reinstatement pursuant to Tenn. Sup. Ct. R. 21 § 7. If the Supreme Court orders the applicant's successful completion of the bar exam, then the applicant must apply for examination as provided in Section 3.01 of this Rule, and attach to the application a disclosure that the application is being submitted pursuant to this Section.

Sec. 16.02. Results of Examination in Support of Reinstatement.

Applicant's bar examination results will not be posted but will be released directly to the applicant.

Sec. 16.03. Permission to Release Results of Examination in Support of Reinstatement.

Submitting an application to take the bar examination constitutes the applicant's permission to allow the Board to release the results of the bar examination and the background investigation directly to the Board of Professional Responsibility.

ARTICLE XVII. TENNESSEE-APPROVED LAW SCHOOLS

Sec. 17.01. Tennessee Law Schools.

(a) Tennessee-Approved Law Schools Not Seeking Accreditation from an Approved Accreditor as defined in Section 2.02(a). Tennessee law schools that are not accredited or seeking accreditation by an Approved Accreditor and that are currently approved by this Board and such approval is not subject to obtaining full accreditation from an Approved Accreditor ("Tennessee-approved law school") shall remain approved so long as the school continues to comply with the requirements of this Rule as it may be amended and any standards adopted by the Board and approved by the Supreme Court.

(b) Law Schools in Tennessee Seeking Accreditation from an Approved Accreditor.

(1) The Board may recommend approval to the Supreme Court of any law school in Tennessee for the purpose of allowing its graduates to be eligible for admission in Tennessee if the law school is seeking provisional accreditation, and pending full accreditation by an Approved Accreditor. The Supreme Court shall certify or deny the Board's recommendation to approve the law school by written order.

(2) The recommendation of the Board to the Supreme Court shall be subject to a site evaluation as provided in Section 17.03. Until the Approved Accreditor grants such provisional accreditation, the law school shall be considered a Tennessee-approved law school, as provided herein.

(3) Law schools in Tennessee that are seeking provisional accreditation from an Approved Accreditor but that are not yet provisionally approved are subject to all of the requirements of a Tennessee-approved law school.

(4) Graduates of law schools provisionally or fully accredited by an Approved Accreditor are eligible to seek admission in Tennessee.

(c) Law Schools Seeking Approval of Substantial Change. Whether or not physically located in Tennessee, if a Law School requests approval of a substantial change from the Approved Accreditor for purposes of opening a law school branch in Tennessee or moving a law school accredited by an Approved Accreditor to Tennessee, the branch or relocated law school shall be treated as a new law school in Tennessee seeking accreditation as provided in paragraph (b), above.

(d) Graduates of Tennessee-Approved Law Schools. Graduates of Tennessee-approved law schools are eligible to seek admission in Tennessee.

(e) Notices from the Approved Accreditor Regarding Compliance with Standards or Status of Accreditation.

(1) Reporting Requirements for Law Schools in Tennessee accredited by an Approved Accreditor. Upon receipt of notice from the Approved Accreditor that the accredited law school located in Tennessee is out of compliance with the Approved Accreditor's standards or that the accreditation status of the law school has changed, the law school shall furnish to the Board copies of the notice and such documentation as the Board may request, including self-study analyses and evaluation reports, prepared, completed or received in connection with such school's accreditation status with the Approved Accreditor. All documentation provided to the Board shall be confidential in order to ensure a frank, candid exchange of information.

(2) Law Schools that are not approved for provisional accreditation by the Approved Accreditor, do not achieve full accreditation or lose their accreditation will not be recommended for approval to the Supreme Court by the Board until a new application or similar process for provisional or renewed accreditation has been initiated with the Approved Accreditor, subject to a site evaluation as provided in Section 17.03, below.

(f) Statement of Accreditation or Approval Status.

(1) In its catalogs or other informational material distributed to prospective students, a law school shall state whether it is accredited by an Approved Accreditor or has been approved by the Board pursuant to Section 17.01 of this Rule.

(2) Any law school in Tennessee that falsely advertises in its catalog or otherwise that it has been accredited by an Approved Accreditor or approved by the Board shall be recognized by the Board as a substandard school and will be so classified and disapproved. Students of a substandard school shall not be eligible for admission in Tennessee.

(g) Substandard Law Schools.

(1) Any law school located in or seeking to locate in Tennessee (whether offering a full-time or part-time in-person or distance-learning curriculum), which permits the enrollment of students without first having obtained the written approval of the Supreme Court as provided in Section 17.01, shall be classified as a substandard school.

(2) Any Law School accredited by an Approved Accreditor or Tennessee-approved law school that loses its accreditation or provisional approval and does not seek reinstatement of such accreditation or provisional approval shall be classified as a substandard school.

(3) Graduates at law schools that are not accredited by an Approved Accreditor or Tennessee-Approved shall be barred from admission in Tennessee unless the student meets the requirements of Section 2.02(e) or Section 7.01 of this Rule.

17.02 Functions of the Board in Review and Regulation of Tennessee-approved Law Schools.

(a) For any Tennessee-approved law school as defined in Section 17.01:

(1) the Board shall determine whether the law school is effectively achieving its mission and objectives, which includes meeting educational standards similar to the standards of an Approved Accreditor and any standards adopted by this Board. Upon determination by the Board that the law school has met the required standards, the Board shall recommend provisional or continued approval of the law school to the Supreme Court;

(2) the Board is authorized to make inquiry to the school and respond to inquiry by the school and to adopt such additional standards as in its judgment the educational needs of the school may justify, subject to the Supreme Court's approval;

(3) if the Board has reasonable cause to believe that a law school does not comply with the standards in Section 17.02(a)(1) of this Rule, and/or the school is not effectively achieving its mission and objectives, it shall inform the school of its apparent noncompliance or failure to effectively achieve its mission or objectives and follow the procedures in Sections 17.03 through 17.10 of this Rule; and

(4) the Board is authorized to:

(A) require a school to furnish such information, including periodic reports, as it deems reasonably appropriate for carrying out the Board's responsibilities; and

(B) investigate a law school in accordance with Section 17.03 of this Rule, provided that such investigation shall be confidential to ensure a frank, candid exchange of information and evaluation.

(b) Self-Study.

(1) The dean and faculty of a Tennessee-approved law school shall develop and periodically revise a written self-study, including an evaluation of the following topics:

(A) the continuing relevance of the school's mission or objectives;

(B) the effectiveness of the program of legal education;

- (C) the appropriateness of the school's admission policies;
- (D) the significance of the trend in rates of graduation and attrition;
- (E) the significance of the trends in the pass/fail rate on the bar examination;
- (F) the strengths and weaknesses of the school's policies;
- (G) goals to improve the educational program; and
- (H) means to accomplish unrealized goals.

(2) The self-study shall be completed every seven years or earlier upon written request of the Board.

(3) Certification of Compliance. The dean and the chairperson of the board of directors of the law school shall certify annually in writing to the Board that the school is effectively achieving its mission and objectives or, if not effectively achieving its mission or objectives, identify areas of noncompliance or other deficiencies, as well as its intention and plan of action to attain compliance.

(c) Investigation and Evaluation by the Board. The Board may visit, investigate, and/or evaluate a Tennessee-approved law school, from time to time, with respect to the adequacy of its facilities, faculty, and course of study. The refusal of any such school to cooperate or participate in the conduct of such evaluation shall be reported to the Supreme Court, which may, after hearing, take such actions as the facts may justify.

Sec. 17.03. Site Evaluation of Tennessee-Approved Law Schools.

(a) A site evaluation by the Board shall be conducted before approval of any law school in Tennessee.

(b) For a Tennessee-approved law school, a site evaluation by the Board shall be conducted in the third year following the granting of approval and every seventh year thereafter. The Board may order additional site evaluations of a school when special circumstances warrant.

(c) The Board shall arrange for the site evaluation or inspection of the law school by a team of qualified and objective persons who have no conflicts of interest as defined in Section 17.10 of this Rule. The cost of the site evaluation or inspection, including the fees of any consultants engaged as part of the Board's site evaluation team, shall be paid by the law school.

(d) Before the site evaluation, the law school shall furnish to the Board and members of the site evaluation team:

(1) For a law school seeking provisional accreditation from an Approved Accreditor or approval of a substantial change as provided in Section 17.01(b), the completed application submitted to the Approved Accreditor;

(2) the current self-study undertaken by the dean and faculty; and

(3) any complaints that the law school is not in compliance with the standards in Section 17.02(a)(1).

(e) The Board shall schedule the site evaluation of the law school to take place during the academic year at a time when regular academic classes are being conducted. A site evaluation usually requires several days, as classes are visited, faculty quality assessed, admissions policies reviewed, records inspected, physical facilities examined, the library assessed, information reviewed, and consultations held with the chairperson of the board of directors of the law school, officers of the institution, the dean of the law school, members of the law school faculty, professional staff, law students, and members of the legal community. In the case of a law school seeking approval, such visit shall be scheduled within three months after receipt by the Board of an application for approval.

(f) Following a site evaluation, the team shall promptly prepare a written report based upon the site evaluation. The team shall not determine compliance or noncompliance with the standards, but shall report facts and observations that will enable the Board and the Supreme Court to determine compliance. The report of the team should give as much pertinent information as feasible.

(g) The team shall promptly submit its report to the Board. After reviewing the report, the Board shall transmit the report to the chairperson of the board of directors of the law school and the dean of the law school in order to provide an opportunity to make factual corrections and comments. In the letter transmitting the report, the Board shall advise that any response to the report must be received by a specified date at least thirty days from the date the Board mailed the report to the school, unless the school consents to a shorter time period.

(h) Following receipt of the school's response to the site evaluation report, the Board shall forward a copy of the report with the school's response to members of the Board and the site evaluation team.

(i) The Board may not consider any additional information submitted by the school after the school's response to the report has been received by the Board, unless

(1) the information is received in writing by the Board at least fifteen days before the Board meeting at which the report is scheduled to be considered, or

(2) for good cause shown, the president of the Board authorizes consideration of the additional information that was not received in a timely manner.

(j) Upon the completion of the procedures, the Board shall consider the law school's evaluation and determine whether the school is in compliance with the standards and is effectively achieving its mission and objectives.

(k) A request for postponement of a site evaluation will be granted only if the law school is in the process of moving to a new physical facility or if extraordinary circumstances exist which would make it impossible for the scheduled site evaluation to take place. The postponement shall not exceed one year.

Sec. 17.04. Action Concerning Apparent Noncompliance with Standards or Deficiencies in Mission.

(a) If the Board has reasonable cause to believe that a Tennessee-approved law school has not complied with the standards in Section 17.02(a)(1) of this Rule or is not effectively achieving its mission or objectives, the Board shall inform the school it is not in compliance and request the school to furnish by a date certain further information about the matter and about action taken to bring the school in compliance with the standards or correct the deficiencies. The school shall furnish the requested information to the Board within the time prescribed.

(b) If upon a review of the information furnished by the law school in response to the Board's request and other relevant information, the Board determines that the school has not demonstrated compliance with the standards or is not effectively achieving its mission or objectives, the school may be required to appear at a hearing before the Board to be held at a specified time and place to show cause why the school should not be required to take appropriate remedial action, placed on probation, removed from the list of law schools approved by the Board or be subject to other appropriate action.

(c) If the Board finds that a law school has failed to comply with the standards or is not effectively achieving its mission or objectives by refusing to furnish information or to cooperate in a site evaluation, the school may be required to appear at a hearing before the Board to be held at a specified time and place to show cause why the school should not be required to take appropriate remedial action, placed on probation, removed from the list of law schools approved by the Board or be subject to other appropriate action.

(d) The Board shall give the law school at least thirty days' notice of the show cause hearing. The notice shall specify the school's apparent noncompliance with the standards or its failure to effectively achieve its mission or objectives and state the time and place of the hearing. For good cause shown, the president of the Board may grant the school additional time, not to exceed thirty days. Both the notice and the request for extension of time must be in writing. The Board shall send the notice of hearing to the dean of the school by certified or registered U.S. mail.

Sec. 17.05. Fact Finder.

(a) The president of the Board may appoint a fact finder to elicit facts relevant to any matter before the Board. The fees of the fact finder and any reasonable and necessary expenses incurred shall be paid by the law school.

(b) The Board shall furnish the fact finder with a copy of the most recent site evaluation report, any action letters written subsequent to the most recent site evaluation report, notice of hearing, and other relevant information.

(c) Following the fact finding visit, the fact finder shall promptly prepare a written report. The fact finder shall not determine compliance or noncompliance with the standards or whether the school is effectively achieving its mission or objectives, but shall report facts and observations that will enable the Board to determine compliance or deficiencies. The report of the fact finder should give as much pertinent information as feasible.

(d) The fact finder shall promptly submit the report to the Board. After reviewing the report, the Board shall transmit the report to the dean of the law school in order to provide an opportunity to make factual corrections and comments. In the letter of transmittal of the report, the Board shall include the date on which the Board will consider the report. The Board shall further advise the school as to the date upon which their response to the report must be received by the Board, which date shall be at least fifteen days before the date of the meeting at which the Board will consider the report. The school shall be given at least thirty days to prepare its response to the report, unless the school consents to a shorter time period. The thirty-day period shall run from the date on which the Board mailed the report to the school.

Sec. 17.06. Hearing on Show Cause Order.

(a) The Board shall have available for review at the show cause hearing:

(1) the fact finder's report, if any;

(2) the most recent site evaluation report;

(3) any site evaluation questionnaire;

(4) any action letters written subsequent to the most recent site evaluation report, which letters direct the school to rectify noncompliance or correct deficiencies;

(5) notice of the Board hearing; and

(6) other relevant information.

(b) Representatives of the law school, including legal counsel, may appear at the hearing and submit information to demonstrate that the school is currently in compliance with all of the standards and is effectively achieving its mission or objectives or to present a reliable plan for bringing the school into compliance with all of the standards and to correct deficiencies within a reasonable time.

(c) The Board may invite the fact finder, if any, and the chairperson of the board of directors of the law school or other member of the most recent site evaluation team to appear at the hearing.

The law school shall reimburse the fact finder and site evaluation team member for reasonable and necessary expenses incurred in attending the hearing.

(d) After the hearing, the Board shall determine whether the law school is in compliance with the standards and whether it is effectively achieving its mission and objectives and, if not, it shall direct the law school to take remedial action or shall impose sanctions, as appropriate.

(1) Remedial action may be ordered pursuant to a reliable plan for bringing the school into compliance with all of the standards and to help it achieve its mission and objectives.

(2) If matters of noncompliance or deficiencies are substantial or have been persistent, then the Board may recommend to the Supreme Court that the school be subjected to sanctions other than removal from the list of approved law schools regardless of whether the school has presented a reliable plan for bringing the school into compliance or to correct deficiencies.

(3) If matters of noncompliance or deficiencies are substantial or have been persistent, and the school fails to present a reliable plan for bringing the school into compliance with all of the standards or to correct deficiencies, the Board may recommend to the Supreme Court that the school be removed from the list of approved schools.

(e) If the Board determines that the law school is in compliance and has no deficiencies, it shall conclude the matter by adopting an appropriate resolution, a copy of which shall be transmitted to the dean of the school by the Board.

Sec. 17.07. Confidentiality of Approval and Evaluation Procedures.

The proceedings set forth in Sections 17.02, 17.03, 17.04, 17.05, and 17.06 of this Rule shall be confidential to ensure a frank, candid exchange of information.

Sec. 17.08. Supreme Court Consideration of Board Recommendation for Imposition of Sanctions.

(a) If the Board determines that a Tennessee-approved law school is not in compliance with the standards or has effectively failed to achieve its mission and objectives and recommends that the school be placed on probation or removed from the list of Tennessee-approved law schools, the Board shall notify the Supreme Court and request a hearing. The Board shall notify the dean of the school of the time and place of the Supreme Court hearing, which shall be open to the public.

(b) The Board shall file with the Supreme Court in the public record the Board's written recommendation, the fact finder's report, if any, the most recent site evaluation report, and any action letters to the school written subsequent to the most recent site evaluation report.

(c) Representatives of the law school, including legal counsel, may appear at the Supreme Court hearing at which the Board's recommendations are considered. The president of the Board (or his or her designee) shall present the Board's findings, conclusions, and recommendations.

(d) The Supreme Court shall determine whether to affirm the Board's findings and conclusions, and whether to adopt the Board's recommendations. The Board's findings and conclusions shall be affirmed if there is substantial and material evidence to support them, unless the school presents new information that demonstrates to the Supreme Court that the school is in compliance with the standards.

(e) The Supreme Court may direct the law school to take appropriate remedial action or subject it to sanctions other than removal from the list of approved law schools regardless of whether the school has presented a reliable plan for bringing the school into compliance with all of the standards.

(f) The Supreme Court shall render its decision by written order. If the decision is adverse to the law school, the order shall provide reasons for the decision.

(g) If the Supreme Court imposes sanctions in the absence of a reliable plan for bringing the school into compliance with all of the standards or to correct deficiencies, the Board shall monitor the steps taken by the school to come into compliance. If the Court imposes sanctions pursuant to a reliable plan for bringing the school into compliance with the standards or to correct deficiencies, the Board shall monitor the steps taken by the school for meeting its plan. At any time that the school is not making progress toward compliance with all of the standards or to correct deficiencies, or at any time that the school is not meeting the obligations of its plan, or if at the end of a period of time set by the Court for coming into compliance the school has not achieved compliance with all of the standards or corrected all deficiencies, the Board shall forward a recommendation that the school be removed from the list of approved schools. This recommendation shall be heard by the Court under the procedures of Section 17.08 of this Rule but the only issue for Court consideration will be whether the school has met the terms of its plan or is in compliance with all of the standards or has corrected deficiencies.

(h) At any time that the school presents information on which the Board concludes that the school is in full compliance with the standards or has corrected its deficiencies, the Board shall recommend to the Supreme Court that the school be taken off probation. This recommendation will be heard by the Court under the procedures of Section 17.08 of this Rule.

Sec. 17.09. Maximum Period for Compliance with Remedial or Probationary Requirements.

Upon communication to a law school of a final decision that it is not in compliance with the standards or has failed to effectively achieve its mission or objectives and informing it that it has been ordered to take remedial action or has been placed on probation, the school shall have a period as set by the Supreme Court to come into compliance. The period may not exceed two years unless such time is extended by the Supreme Court for good cause shown.

Sec. 17.10. Conflicts of Interest.

Members of the Board and any site evaluation team, as well as any fact finders appointed under the provisions of Sections 17.03 and 17.05, should avoid any conflict of interest or perceived

conflict of interest arising because a person has an “associational interest” in the law school or the law school program under review by the Board or the Supreme Court. Alumni, faculty, and directors of the school under review are deemed to have an associational interest in the school and should recuse themselves from the process of review. Former faculty and board members who have terminated their relationship with the school less than five years before to the site inspection, evaluation, or review process are also deemed to have an associational interest in the school and should recuse themselves from the process of review.